

BID NUMBER:	02/2019/20
DESCRIPTION:	APPOINTMENT OF SERVICE PROVIDERS TO BE REGISTERED ON THE DATABASE PANEL OF AUDIT EXPERT SERVICES FOR THE PERIOD OF THREE(3) YEARS
VALIDITY PERIOD:	120 Days from the closing date
CLOSING DATE:	08 OCTOBER 2019
CLOSING TIME:	11:00 AM THE CLOSING TIME WILL BE AS PER THE CLOCK AT THE RTIA RECEPTION
BID RESPONSES MUST BE HAND DELIVERED / COURIERED TO:	Road Traffic Infringement Agency (RTIA) Head Office (at the reception)
ATTENTION:	Billy Motau
NB: Bidders must ensure that they sign the register at the reception when delivering their bids	
BIDDER NAME:	

THE FOLLOWING PARTICULARS MUST BE FURNISHED (FAILURE TO DO SO SHALL RESULT IN YOUR BID BEING DISQUALIFIED)

BIDDING STRUCTURE

Indicate the type of Bidding structure by marking with an 'X':	
Individual bidder	
Joint venture	
Consortium	
Using Subcontractors	
Other	

If individual bidder, indicate the following:	
Name of bidder	
Registration number	
VAT registration number	
Contact person	
Telephone number	
Fax number	
E-mail address	
Postal address	
Physical address	

If Joint Venture or Consortium, indicate the following:	
Name of prime contractor	
Registration number	
VAT registration number	
Contact person	
Telephone number	
Fax number	
E-mail address	
Postal address	
Physical address	

If Joint Venture or Consortium, indicate the following: <i>(To be completed for each JV/ Consortium member)</i>	
Name of Joint Venture/ Consortium member	
Registration number	
VAT registration number	
Contact person	
Telephone number	
Fax number	
E-mail address	
Postal address	
Physical address	

If using subcontractors, indicate the following:	
Name of prime contractor	
Registration number	
VAT registration number	
Contact person	
Telephone number	
Fax number	
E-mail address	
Postal address	
Physical address	

If using subcontractors, indicate the following: <i>(To be completed for each subcontractor)</i>	
Name of subcontractor	
Registration number	
VAT registration number	
Contact person	
Telephone number	
Fax number	
E-mail address	
Postal address	

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1. CONDITIONS AND UNDERTAKINGS BY BIDDER BID

- 1.1 **The Bid forms should not be retyped or redrafted but photocopies may be prepared and used.** However, only documents with the original signature in black ink shall be accepted. Additional offers against any item should be made on a photocopy of the page in question.
- 1.1.1 Black ink should be used when completing Bid documents.
- 1.1.2 Bidders should check the numbers of the pages to satisfy themselves that none is missing or duplicated. Road Traffic Infringement Agency will accept NO liability in regard to anything arising from the fact that pages are missing or duplicated.
- 1.2 I/We hereby Bid to supply all or any of the supplies and/or to procure all or any of the services described in the attached documents to Road Traffic Infringement Agency on the terms and conditions and in accordance with the specifications stipulated in the Bid documents (and which shall be taken as part of, and incorporated into, this Bid) at the prices inserted therein.
- 1.3 I/We agree that -
- 1.3.1 the offer herein shall remain binding upon me/us and open for acceptance by Road Traffic Infringement Agency during the validity period indicated and calculated from the closing hour and date of the Bid;
- 1.3.2 the laws of the Republic of South Africa shall govern the contract created by the acceptance of my/our Bid and that I/we choose domicilium citandi et executandi in the Republic as indicated below; and
- 1.4 **NB: BIDDERS TERMS AND CONDITIONS ARE NOT ACCEPTABLE.**

Please note that the following clauses of RTIA's conditions and Procedures governing the Procurement of Services.

2.1 CONTRACT TERMINATION

2.1.1 A contract/s with a successful Bidder/s may be terminated by the RTIA on the grounds of valid commercial or operational requirements that were not foreseen at the time of the Request for Bid being submitted and the contract being entered into. The RTIA, if it wishes to terminate the contract, shall be required to give 30 (thirty) days written notice of its intention to terminate the contract. Such notice must be preceded by bona fide discussion between the RTIA and the successful Bidder. In this instance the RTIA shall only remain liable for all amounts due to the successful Bidder with respect to the period ending on the date of the cancellation, and shall not be held liable for any damages or losses on the basis of such a termination of the contract.

2.2 DISPUTE RESOLUTION

2.2.1 All disputes arising out of this RFB or relating to the legal validity of this RFB or any part thereof shall be resolved under this paragraph. The parties must refer any dispute to be resolved by:

- Negotiation,
- Mediation,
- Arbitration,

2.2.2 Within ten (10) days of notification, the parties must seek an amicable resolution to the dispute by referring the dispute to designated and authorized representatives of each of the parties to negotiate and resolve the dispute. If an amicable resolution to the dispute is found the authorized representatives of the parties must sign, within the ten (10) day period, an agreement confirming that the dispute has been resolved.

2.2.3 If negotiation fails, the parties must, within fifteen (15) days of the negotiations failing, refer the dispute for resolution by mediation under the rules of the Arbitration Foundation of Southern Africa (or its successor or body nominated in writing by it in its stead).

2.2.4 The periods for negotiation or for referral of the dispute for mediation may be shortened or lengthened by written agreement between the parties.

2.2.5 In the event of the mediation contemplated in paragraph the parties shall refer the dispute, within fifteen (15) days of the mediation failing, for resolution by expedited arbitration under the current rules of the Arbitration Foundation of Southern Africa (or its successor or body nominated in writing by it in its stead).

- 2.2.6 A single arbitrator shall be appointed by agreement between the parties within ten (10) days of the dispute being referred for arbitration, failing which the arbitrator shall be appointed by the Secretariat of the Arbitration Foundation of Southern Africa (or its successor or body nominated in writing by it in its stead).
- 2.4.7 At all times, every reasonable effort shall be made to ensure that such arbitrator has the necessary technical skills to enable him to adjudicate the dispute in a satisfactory manner.
- 2.2.8 The arbitration shall be held at Sandton, South Africa, in English.
- 2.2.9 The South African law shall apply.
- 2.2.10 The parties shall be entitled to legal representation.
- 2.2.11 The award of the arbitrator shall be final and binding on the parties, who hereby agree to give effect to the award. Either party shall be entitled to have the arbitrator's award made an order of court at the cost of the party requesting same.
- 2.2.12 This paragraph shall constitute the irrevocable consent of the parties to the dispute resolution proceeding in terms hereof and neither of the parties shall be entitled to withdraw there from or to claim at any arbitration proceedings that they are not bound by the arbitration provisions of this RFB.
- 2.2.13 Both parties shall comply with all the provisions of the RFB and with all due diligence during the determination of such dispute should the latter arise during the course of the RFB.

2.3 PAYMENT TERMS - LOCAL CREDITORS

- 2.3.1 Original, detailed, correct and complete tax invoices, monthly statements (where applicable), VAT registration numbers (where applicable), verification of bank details (in the format required) and any other relevant supporting documents must be submitted to the Agency after it has acknowledged receipt in writing of the services procured or goods received, to its satisfaction.
- 2.3.2 Tax invoices and all necessary supporting documents must be submitted to the Agency by the 1st (first) business day of a calendar month in order for payment to be effected by the end of the same calendar month. Otherwise payment shall be effected by the end of the following calendar month. Payments shall furthermore only be made on condition that the required documentation submitted are the originals, correct and complete.
- 2.3.3 Payment shall be effected by electronic bank transfer or any other method of payment decided to be used by the Agency from time to time and at the Agency's sole discretion.

2.3.4 Payment shall furthermore be subject to the Agency's standard Special Terms and Conditions of Contract, which if applicable shall prevail over this clause in all instances; and a copy whereof shall be furnished upon request.

2.4 TERMINATION

2.4.1 The following clause will be applicable to all contracts entered into/orders placed by RTIA:

If, at any time during the currency of this Bid and subsequent contract/order, RTIA in its reasonable discretion determines that the other party has, in respect of this bid, contract/order or any other contract/order or agreement to which they were or are parties to:

- Acted dishonestly and/or in bad faith, and/or
- Has made any intentional or negligent misrepresentation to RTIA whether in any negotiations preceding the conclusion of, or in the execution of this RFP or any other agreement between the parties,

Then RTIA shall be entitled by written notice to the other party forthwith to cancel this contract/order. Upon such cancellation, RTIA shall be entitled, in addition to all other remedies available to it, to recover from the other party all damages it has suffered by virtue of such conduct by the other party. RTIA shall be entitled to withhold payment in respect thereof for a period of 90 (ninety) days from the date of cancellation in order to investigate the party's conduct and any damages suffered by RTIA. NO payment by RTIA to the other party after the lapse of such period shall preclude RTIA thereafter, from recovering from the other party any such damages as it may have suffered.

2.5 SPECIFIC INFORMATION REQUIRED

For ease of reference and evaluating purposes, please furnish replies under the same headings and refer individually to all specific paragraph numbers. Please be clear in your response and use definite answers.

2.6 COPIES REQUIRED

It is a condition that the Bidder/s shall furnish a proposal comprising of **one original plus 4 (four) copies** for the supply of products and services enumerated in this Request for Bid Document. The Bidder/s shall ensure that all the relevant information and documentation is submitted with the original as well as the copies. RTIA shall not be liable should it become evident that a Bidder/s offer/s is/are not accepted and the reason for such non-acceptance is as a result of the Bidder/s failure to include the information in all four copies.

2.7 REASONS FOR DISQUALIFICATION

2.7.1 The RTIA reserves the right to disqualify any bidder which does any one or more of the following, and such disqualification may take place without prior notice to the offending bidder, however the bidder shall be notified in writing of such disqualification:

2.7.2 Bidders who do not submit a CSD Reports on the closing date and time of the bid;

- 2.7.3 Bidders who submitted incomplete information and documentation according to the requirements of this RFP;
- 2.7.4 Bidders who submitted information that is fraudulent, factually untrue or inaccurate, for example memberships that do not exist, BBBEE credentials, experience, etc.;
- 2.7.5 Bidders who received information not available to other vendors through fraudulent means; and/or
- 2.7.6 Bidders who do not comply with **mandatory requirements** as stipulated in this RFP.
- 2.7.7 There shall be **no public opening** of the Bids received; however, the list of bids received may be published on the RTIA website. There shall be no discussions with any enterprise until evaluation of the proposal has been complete. Any subsequent discussions shall be at the discretion of the RTIA. Unless specifically provided for in the proposal document, bids submitted by means of telegram, telex, facsimile or similar means shall not be considered.
- 2.7.8 No Bids from any bidder with offices within the RSA shall be accepted if sent via the Internet or e-mail. However Bids from international bidders with no office or representation in the RSA shall be accepted if received via the Internet or e-mail before the closing date and time.
- 2.7.10 Such Bids shall not be made available for evaluation until the original signed documentation is received within three (3) working days after the closing date, otherwise the proposal shall be disqualified. International bidders must submit proof that they do not have any offices or representation in South Africa.

2.8 ENQUIRIES

Enquiries regarding this Request for Proposal should be submitted via e-mail to:

Bid enquiries:

Manager: SCM	scmenquiries@rtia.co.za
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PART A INVITATION TO BID

YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF THE (NAME OF DEPARTMENT/ PUBLIC ENTITY)					
BID NUMBER:	02/2019/20	CLOSING DATE	08 OCTOBER 2019	CLOSING TIME:	11H:00
DESCRIPTION	APPOINTMENT OF A SERVICE PROVIDER TO BE REGISTERED ON THE OF DATABASE OF PANEL OF AUDIT EXPERT SERVICES FOR THE PERIOD OF THREE YEARS				
BID RESPONSE DOCUMENTS MAY BE DEPOSITED IN THE BID BOX SITUATED AT (STREET ADDRESS)					
WATERFALL 253 HOWICK EDGE B					
WATERFALL OFFICE PARK					
BEKKER ROAD					
MIDRAND					
BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO			TECHNICAL ENQUIRIES MAY BE DIRECTED TO:		
CONTACT PERSON	BILLY MOTAU		CONTACT PERSON	Keobakile Pooe	
TELEPHONE NUMBER	087 285 0475		TELEPHONE NUMBER	087 285 0500	
FACSIMILE NUMBER			FACSIMILE NUMBER		
E-MAIL ADDRESS	Billy.motau@rtia.co.za		E-MAIL ADDRESS	Keobakile.pooe@rtia.co.za	
SUPPLIER INFORMATION					
NAME OF BIDDER					
POSTAL ADDRESS					
STREET ADDRESS					
TELEPHONE NUMBER	CODE		NUMBER		
CELLPHONE NUMBER					
FACSIMILE NUMBER	CODE		NUMBER		
E-MAIL ADDRESS					
VAT REGISTRATION NUMBER					
SUPPLIER COMPLIANCE STATUS	TAX COMPLIANCE SYSTEM PIN:		OR	CENTRAL SUPPLIER DATABASE No:	MAAA
B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE	TICK APPLICABLE BOX] <input type="checkbox"/> Yes <input type="checkbox"/> No		B-BBEE STATUS LEVEL SWORN AFFIDAVIT		[TICK APPLICABLE BOX] <input type="checkbox"/> Yes <input type="checkbox"/> No
[A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE/ SWORN AFFIDAVIT (FOR EMES & QSEs) MUST BE SUBMITTED IN ORDER TO QUALIFY FOR PREFERENCE POINTS FOR B-BBEE]					
ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES ENCLOSE PROOF]		ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED?		<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES, ANSWER THE QUESTIONNAIRE BELOW]
QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS					

BID RTIA: 02/2019/20 Appointment of a service provider to be registered on the panel of audit expert services

**PART B
TERMS AND CONDITIONS FOR BIDDING**

1. BID SUBMISSION:
1.1. BIDS MUST BE DELIVERED BY THE STIPULATED TIME TO THE CORRECT ADDRESS. LATE BIDS WILL NOT BE ACCEPTED FOR CONSIDERATION.
1.2. ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED–(NOT TO BE RE-TYPED) OR IN THE MANNER PRESCRIBED IN THE BID DOCUMENT.
1.3. THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT, 2000 AND THE PREFERENTIAL PROCUREMENT REGULATIONS, 2017, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER SPECIAL CONDITIONS OF CONTRACT.
1.4. THE SUCCESSFUL BIDDER WILL BE REQUIRED TO FILL IN AND SIGN A WRITTEN CONTRACT FORM (SBD7).
2. TAX COMPLIANCE REQUIREMENTS
2.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.
2.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER'S PROFILE AND TAX STATUS.
2.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE WWW.SARS.GOV.ZA.
2.4 BIDDERS MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE BID.
2.5 IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER.
2.6 WHERE NO TCS PIN IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.
2.7 NO BIDS WILL BE CONSIDERED FROM PERSONS IN THE SERVICE OF THE STATE, COMPANIES WITH DIRECTORS WHO ARE PERSONS IN THE SERVICE OF THE STATE, OR CLOSE CORPORATIONS WITH MEMBERS PERSONS IN THE SERVICE OF THE STATE.”

NB: FAILURE TO PROVIDE / OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.

SIGNATURE OF BIDDER:

CAPACITY UNDER WHICH THIS BID IS SIGNED:
(Proof of authority must be submitted e.g. company resolution)

DATE:

PRICING SCHEDULE
 (Professional Services)

NAME OF BIDDER: BID NO.:

CLOSING TIME 11:00 CLOSING DATE.....

OFFER TO BE VALID FORDAYS FROM THE CLOSING DATE OF BID.

ITEM DESCRIPTION BID PRICE IN RSA CURRENCY NO(ALL APPLICABLE TAXES INCLUDED)**

1. *The accompanying information must be used for the formulation of proposals.*
2. *Bidders are required to indicate a ceiling price based on the total estimated time for completion of all phases and including all expenses inclusive of all applicable taxes for the project. R.....*
3. **PERSONS WHO WILL BE INVOLVED IN THE PROJECT AND RATES APPLICABLE (CERTIFIED INVOICES MUST BE RENDERED IN TERMS HEREOF)**

Day Duty

4. PERSON AND POSITION HOURLY RATE DAILY RATE

R-----	-----	-----
R-----	-----	-----
R-----	-----	-----
-----	R-----	-----
-----	R-----	-----

5. PHASES ACCORDING TO WHICH THE PROJECT WILL BE DONE AND MAN-DAYS TO BE SPENT

R-----	-----	days
R-----	-----	days
R-----	-----	days
R-----	-----	days

5.1 Travel expenses (specify, for example rate/km and total km, class of airtravel, etc). Only actual costs are recoverable. Proof of the expenses incurred must accompany certified invoices.

<i>DESCRIPTION OF EXPENSE TO BE INCURRED</i>	<i>RATE</i>	<i>QUANTITY</i>	<i>AMOUNT</i>
.....	R.....
.....	R.....
.....	R.....
.....	R.....

TOTAL: R.....

**** "all applicable taxes" includes value- added tax, pay as you earn, income tax, unemployment insurance fund contributions and skills development levies.**

5.2 Other expenses, for example accommodation (specify, eg. Three star hotel, bed and breakfast, telephone cost, reproduction cost, etc.). On basis of these particulars, certified invoices will be checked for correctness. Proof of the expenses must accompany invoices.

<i>DESCRIPTION OF EXPENSE TO BE INCURRED</i>	<i>RATE</i>	<i>QUANTITY</i>	<i>AMOUNT</i>
.....	R.....
.....	R.....
.....	R.....
.....	R.....

TOTAL: R.....

6. Period required for commencement with project after acceptance of bid.....

7. Estimated man-days for completion of project

8. Are the rates quoted firm for the full period of contract? *YES/NO

9. If not firm for the full period, provide details of the basis on which adjustments will be applied for, for example consumer price index.

.....
.....
.....

ANNEXURE B: DELARATION OF INTEREST

1. Any legal person, including persons employed by the state¹, or persons having a kinship with persons employed by the state, including a blood relationship, may make an offer or offers in terms of this invitation to bid (includes a price quotation, advertised competitive bid, limited bid or proposal). In view of possible allegations of favouritism, should the resulting bid, or part thereof, be awarded to persons employed by the state, or to persons connected with or related to them, it is required that the bidder or his/her authorised representative declare his/her position in relation to the evaluating/adjudicating authority where-
- the bidder is employed by the state; and/or
 - the legal person on whose behalf the bidding document is signed, has a relationship with persons/a person who are/is involved in the evaluation and or adjudication of the bid(s), or where it is known that such a relationship exists between the person or persons for or on whose behalf the declarant acts and persons who are involved with the evaluation and or adjudication of the bid.

2. **In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.**

2.1 Full Name of bidder or his or her representative:

2.2 Identity Number:.....

2.3 Position occupied in the Company (director, trustee, shareholder²):

2.4 Company Registration Number:

2.5 Tax Reference Number:

2.6 VAT Registration Number:

- 2.6.1 The names of all directors / trustees / shareholders / members, their individual identity numbers, tax reference numbers and, if applicable, employee / persal numbers must be indicated in paragraph 3 below.

¹“State” means –

- (a) any national or provincial department, national or provincial public entity or constitutional institution within the meaning of the Public Finance Management Act, 1999 (Act No. 1 of 1999);
- (b) any municipality or municipal entity;
- (c) provincial legislature;
- (d) national Assembly or the national Council of provinces; or

(e) Parliament.

”Shareholder” means a person who owns shares in the company and is actively involved in the management of the enterprise or business and exercises control over the enterprise.

2.7 Are you or any person connected with the bidder **YES / NO**
presently employed by the state?

2.7.1 If so, furnish the following particulars:

Name of person / director / trustee / shareholder/ member:

Name of state institution at which you or the person connected to the bidder is employed :

Position occupied in the state institution:

Any other particulars:

.....

.....

.....

2.7.2 If you are presently employed by the state, did you obtain **YES / NO**
the appropriate authority to undertake remunerative
work outside employment in the public sector?

2.7.2.1 If yes, did you attached proof of such authority to the bid **YES / NO**
document?

(Note: Failure to submit proof of such authority, where
applicable, may result in the disqualification of the bid.

2.7.2.2 If no, furnish reasons for non-submission of such proof:

.....

.....

.....

2.8 Did you or your spouse, or any of the company’s directors / **YES / NO**
trustees / shareholders / members or their spouses conduct
business with the state in the previous twelve months?

2.8.1 If so, furnish particulars:

.....
.....
.....

2.9 Do you, or any person connected with the bidder, have **YES / NO**
any relationship (family, friend, other) with a person
employed by the state and who may be involved with
the evaluation and or adjudication of this bid?

2.9.1 If so, furnish particulars.

.....
.....
.....

2.10 Are you, or any person connected with the bidder, **YES/NO**
aware of any relationship (family, friend, other) between
any other bidder and any person employed by the state
who may be involved with the evaluation and or adjudication
of this bid?

2.10.1 If so, furnish particulars.

.....
.....
.....

2.11 Do you or any of the directors / trustees / shareholders / members **YES/NO**
of the company have any interest in any other related companies
whether or not they are bidding for this contract?

2.11.1 If so, furnish particulars:

.....
.....
.....

3 *Full details of directors / trustees / members / shareholders.*

Full Name	Identity Number	Personal Reference Number	Tax	State Number Number	Employee / Peral Number

4 *DECLARATION*

I, THE UNDERSIGNED (NAME).....

CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 2 and 3 ABOVE IS CORRECT. I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 23 OF THE GENERAL CONDITIONS OF CONTRACT SHOULD THIS DECLARATION PROVE TO BE FALSE.

.....
Signature Date

.....
Position Name of bidder

ANNEXURE C: BLACK ECONOMIC EMPOWERMENT

SBD 6.1

PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL PROCUREMENT REGULATIONS 2011

This preference form must form part of all bids invited. It contains general information and serves as a claim form for preference points for Broad-Based Black Economic Empowerment (B-BBEE) Status Level of Contribution

NB: BEFORE COMPLETING THIS FORM, BIDDERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF B-BBEE, AS PRESCRIBED IN THE PREFERENTIAL PROCUREMENT REGULATIONS, 2011.

1. GENERAL CONDITIONS

1.1 The following preference point systems are applicable to all bids:

- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
- the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

1.2 The value of this bid is estimated to exceed/R50 000 000 (all applicable taxes included) and therefore the 90/10 system shall be applicable.

1.3 Preference points for this bid shall be awarded for:

- (a) Price; and
- (b) B-BBEE Status Level of Contribution.

1.3.1 The maximum points for this bid are allocated as follows:

	POINTS
1.3.1.1 PRICE	80
1.3.1.2 B-BBEE STATUS LEVEL OF CONTRIBUTION	20

- 1.4 Failure on the part of a bidder to fill in and/or to sign this form and submit a B-BBEE Verification Certificate from a Verification Agency accredited by the South African Accreditation System (SANAS) or a Registered Auditor approved by the Independent Regulatory Board of Auditors (IRBA) or an Accounting Officer as contemplated in the Close Corporation Act (CCA) together with the bid, will be interpreted to mean that preference points for B-BBEE status level of contribution are not claimed.
- 1.5. The purchaser reserves the right to require of a bidder, either before a bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the purchaser.

2. DEFINITIONS

- 2.1 **“all applicable taxes”** includes value-added tax, pay as you earn, income tax, unemployment insurance fund contributions and skills development levies;
- 2.2 **“B-BBEE”** means broad-based black economic empowerment as defined in section 1 of the Broad-Based Black Economic Empowerment Act;
- 2.3 **“B-BBEE status level of contributor ”**means the B-BBEE status received by a measured entity based on its overall performance using the relevant scorecard contained in the Codes of Good Practice on Black Economic Empowerment, issued in terms of section 9(1) of the Broad-Based Black Economic Empowerment Act;
- 2.4 **“bid”** means a written offer in a prescribed or stipulated form in response to an invitation by an organ of state for the provision of services, works or goods, through price quotations, advertised competitive bidding processes or proposals;
- 2.5 **“Broad-Based Black Economic Empowerment Act”** means the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);
- 2.6 **“comparative price”** means the price after the factors of a non-firm price and all unconditional discounts that can be utilized have been taken into consideration;
- 2.7 **“consortium or joint venture”** means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract;
- 2.8 **“contract”** means the agreement that results from the acceptance of a bid by an organ of state;
- 2.9 **“EME”** means any enterprise with an annual total revenue of R5 million or less .
- 2.10 **“Firm price”** means the price that is only subject to adjustments in accordance with the actual increase or decrease resulting from the change, imposition, or abolition of customs or excise duty and any other duty, levy, or tax, which, in terms of the law or regulation, is binding on the contractor

and demonstrably has an influence on the price of any supplies, or the rendering costs of any service, for the execution of the contract;

- 2.11 **“functionality”** means the measurement according to predetermined norms, as set out in the bid documents, of a service or commodity that is designed to be practical and useful, working or operating, taking into account, among other factors, the quality, reliability, viability and durability of a service and the technical capacity and ability of a bidder;
- 2.12 **“non-firm prices”** means all prices other than “firm” prices;
- 2.13 **“person”** includes a juristic person;
- 2.14 **“rand value”** means the total estimated value of a contract in South African currency, calculated at the time of bid invitations, and includes all applicable taxes and excise duties;
- 2.15 **“sub-contract”** means the primary contractor’s assigning, leasing, making out work to, or employing, another person to support such primary contractor in the execution of part of a project in terms of the contract;
- 2.15 **“total revenue”** bears the same meaning assigned to this expression in the Codes of Good Practice on Black Economic Empowerment, issued in terms of section 9(1) of the Broad-Based Black Economic Empowerment Act and promulgated in the *Government Gazette* on 9 February 2007;
- 2.17 **“trust”** means the arrangement through which the property of one person is made over or bequeathed to a trustee to administer such property for the benefit of another person; and
- 2.18 **“trustee”** means any person, including the founder of a trust, to whom property is bequeathed in order for such property to be administered for the benefit of another person.

3. ADJUDICATION USING A POINT SYSTEM

- 3.1 The bidder obtaining the highest number of total points will be awarded the contract.
- 3.2 Preference points shall be calculated after prices have been brought to a comparative basis taking into account all factors of non-firm prices and all unconditional discounts;.
- 3.1 Points scored must be rounded off to the nearest 2 decimal places.
- 3.4 In the event that two or more bids have scored equal total points, the successful bid must be the one scoring the highest number of preference points for B-BBEE.
- 3.5 However, when functionality is part of the evaluation process and two or more bids have scored equal points including equal preference points for B-BBEE, the successful bid must

be the one scoring the highest score for functionality.

3.6 Should two or more bids be equal in all respects, the award shall be decided by the drawing of lots.

4. POINTS AWARDED FOR PRICE

4.1 THE 80/20 OR 90/10 PREFERENCE POINT SYSTEMS

A maximum of 80 or 90 points is allocated for price on the following basis:

$$P_s = 80 \left(1 - \frac{P_t - P_{\min}}{P_{\min}} \right) \quad \text{or} \quad P_s = 90 \left(1 - \frac{P_t - P_{\min}}{P_{\min}} \right)$$

Where

P_s = Points scored for comparative price of bid under consideration

P_t = Comparative price of bid under consideration

P_{\min} = Comparative price of lowest acceptable bid

5. Points awarded for B-BBEE Status Level of Contribution

5.1 In terms of Regulation 5 (2) and 6 (2) of the Preferential Procurement Regulations, preference points must be awarded to a bidder for attaining the B-BBEE status level of contribution in accordance with the table below:

B-BBEE Status Level of Contributor	Number of points (90/10 system)	Number of points (80/20 system)
1	10	20
2	9	18
3	8	16
4	5	12
5	4	8
6	3	6
7	2	4
8	1	2
Non-compliant contributor	0	0

5.2 Bidders who qualify as EMEs in terms of the B-BBEE Act must submit a certificate issued by an Accounting Officer as contemplated in the CCA or a Verification Agency accredited by SANAS or a

Registered Auditor. Registered auditors do not need to meet the prerequisite for IRBA's approval for the purpose of conducting verification and issuing EMEs with B-BBEE Status Level Certificates.

- 5.3 Bidders other than EMEs must submit their original and valid B-BBEE status level verification certificate or a certified copy thereof, substantiating their B-BBEE rating issued by a Registered Auditor approved by IRBA or a Verification Agency accredited by SANAS.
- 5.4 A trust, consortium or joint venture, will qualify for points for their B-BBEE status level as a legal entity,
provided that the entity submits their B-BBEE status level certificate.
- 5.5 A trust, consortium or joint venture will qualify for points for their B-BBEE status level as an unincorporated
entity, provided that the entity submits their consolidated B-BBEE scorecard as if they were a group structure and that such a consolidated B-BBEE scorecard is prepared for every separate bid.
- 5.6 Tertiary institutions and public entities will be required to submit their B-BBEE status level certificates in terms of the specialized scorecard contained in the B-BBEE Codes of Good Practice.
- 5.7 A person will not be awarded points for B-BBEE status level if it is indicated in the bid documents that such a bidder intends sub-contracting more than 25% of the value of the contract to any other enterprise
that does not qualify for at least the points that such a bidder qualifies for, unless the intended sub-contractor is an EME that has the capability and ability to execute the sub-contract.
- 5.8 A person awarded a contract may not sub-contract more than 25% of the value of the contract to any other enterprise that does not have an equal or higher B-BBEE status level than the person concerned, unless the contract is sub-contracted to an EME that has the capability and ability to execute the sub-contract.

6. BID DECLARATION

6.1 Bidders who claim points in respect of B-BBEE Status Level of Contribution must complete the following:

7. B-BBEE STATUS LEVEL OF CONTRIBUTION CLAIMED IN TERMS OF PARAGRAPHS 1.3.1.2 AND 5.1

7.1 B-BBEE Status Level of Contribution: =(maximum of 10 or 20 points)

(Points claimed in respect of paragraph 7.1 must be in accordance with the table reflected in paragraph 5.1 and must be substantiated by means of a B-BBEE certificate issued by a Verification Agency accredited by SANAS or a Registered Auditor approved by IRBA or an Accounting Officer as contemplated in the CCA).

8 SUB-CONTRACTING

8.1 Will any portion of the contract be sub-contracted? YES / NO (delete which is not applicable)

8.1.1 If yes, indicate:

- (i) what percentage of the contract will be subcontracted?
- (ii) the name of the sub-contractor?
- (iii) the B-BBEE status level of the sub-contractor?
- (iv) whether the sub-contractor is an EME? YES / NO (delete which is not applicable)

9 DECLARATION WITH REGARD TO COMPANY/FIRM

9.1 Name of company/firm

9.2 VAT registration number :

9.3 Company registration number

:

9.4 TYPE OF COMPANY/ FIRM

- Partnership/Joint Venture / Consortium
- One person business/sole propriety
- Close corporation
- Company
- (Pty) Limited

[TICK APPLICABLE BOX]

9.5 DESCRIBE PRINCIPAL BUSINESS ACTIVITIES

.....
.....
.....

9.6 COMPANY CLASSIFICATION

- Manufacturer
- Supplier
- Professional service provider
- Other service providers, e.g. transporter, etc.

[TICK APPLICABLE BOX]

9.7 Total number of years the company/firm has been in business?

9.8 I/we, the undersigned, who is / are duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the B-BBE status level of contribution indicated in paragraph 7 of the foregoing certificate, qualifies the company/ firm for the preference(s) shown and I / we acknowledge that:

- (i) The information furnished is true and correct;
- (ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form.
- (iii) In the event of a contract being awarded as a result of points claimed as shown in paragraph 7, the contractor may be required to furnish documentary proof to the satisfaction of the purchaser that the claims are correct;
- (iv) If the B-BBEE status level of contribution has been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the purchaser may, in addition to any other remedy it may have –
 - (a) disqualify the person from the bidding process;
 - (b) recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;
 - (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such
 - (d) restrict the bidder or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, from obtaining business from any organ of state for a period not exceeding 10 years, after the audi alteram partem (hear the other side) rule has been applied; and
 - (e) forward the matter for criminal prosecution

WITNESSES:

1.

.....
SIGNATURE(S) OF BIDDER(S)

2.

DATE:.....

ADDRESS:.....

ANNEXURE D: DECLARATION OF BIDDER'S PAST SUPPLY CHAIN MANAGEMENT PRACTICES

- 1 This Standard Bidding Document must form part of all bids invited.
- 2 It serves as a declaration to be used by institutions in ensuring that when goods and services are being procured, all reasonable steps are taken to combat the abuse of the supply chain management system.
- 3 The bid of any bidder may be disregarded if that bidder, or any of its directors have-
 - a. abused the institution's supply chain management system;
 - b. committed fraud or any other improper conduct in relation to such system; or
 - c. failed to perform on any previous contract.
- 4 **In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.**

Item	Question	Yes	No
4.1	Is the bidder or any of its directors listed on the National Treasury's database as companies or persons prohibited from doing business with the public sector? (Companies or persons who are listed on this database were informed in writing of this restriction by the National Treasury after the <i>audi alteram partem</i> rule was applied).	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.1.1	If so, furnish particulars:		
4.2	Is the bidder or any of its directors listed on the Register for Tender Defaulters in terms of section 29 of the Prevention and Combating of Corrupt Activities Act (No 12 of 2004)? To access this Register enter the National Treasury's website, www.treasury.gov.za, click on the icon "Register for Tender Defaulters" or submit your written request for a hard copy of the Register to facsimile number (012) 3265445.	Yes <input type="checkbox"/>	No <input type="checkbox"/>

4.2.1	If so, furnish particulars:		
4.3	Was the bidder or any of its directors convicted by a court of law (including a court outside of the Republic of South Africa) for fraud or corruption during the past five years?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.3.1	If so, furnish particulars:		
4.4	Was any contract between the bidder and any organ of state terminated during the past five years on account of failure to perform on or comply with the contract?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.4.1	If so, furnish particulars:		

CERTIFICATION

I, THE UNDERSIGNED (FULL NAME).....

CERTIFY THAT THE INFORMATION FURNISHED ON THIS DECLARATION FORM IS TRUE AND CORRECT.

I ACCEPT THAT, IN ADDITION TO CANCELLATION OF A CONTRACT, ACTION MAY BE TAKEN AGAINST ME SHOULD THIS DECLARATION PROVE TO BE FALSE.

.....

Signature

Date

.....

Position

Name of Bidder

Js365bW

SBD 9

ANNEXURE E: CERTIFICATE OF INDEPENDENT BID DETERMINATION

- 1 This Standard Bidding Document (SBD) must form part of all bids¹ invited.
- 2 Section 4 (1) (b) (iii) of the Competition Act No. 89 of 1998, as amended, prohibits an agreement between, or concerted practice by, firms, or a decision by an association of firms, if it is between parties in a horizontal relationship and if it involves collusive bidding (or bid rigging).² Collusive bidding is a *pe se* prohibition meaning that it cannot be justified under any grounds.
- 3 Treasury Regulation 16A9 prescribes that accounting officers and accounting authorities must take all reasonable steps to prevent abuse of the supply chain management system and authorizes accounting officers and accounting authorities to:
 - a. disregard the bid of any bidder if that bidder, or any of its directors have abused the institution's supply chain management system and or committed fraud or any other improper conduct in relation to such system.
 - b. cancel a contract awarded to a supplier of goods and services if the supplier committed any corrupt or fraudulent act during the bidding process or the execution of that contract.
- 4 This SBD serves as a certificate of declaration that would be used by institutions to ensure that, when bids are considered, reasonable steps are taken to prevent any form of bid-rigging.
- 5 In order to give effect to the above, the attached Certificate of Bid Determination (SBD 9) must be completed and submitted with the bid:

¹ Includes price quotations, advertised competitive bids, limited bids and proposals.

² Bid rigging (or collusive bidding) occurs when businesses, that would otherwise be expected to compete, secretly conspire to raise prices or lower the quality of goods and / or services for purchasers who wish to acquire goods and / or services through a bidding process. Bid rigging is, therefore, an agreement between competitors not to compete.\

SBD 9

CERTIFICATE OF INDEPENDENT BID DETERMINATION

I, the undersigned, in submitting the accompanying bid:

(Bid Number and Description)

in response to the invitation for the bid made by:

(Name of Institution)

do hereby make the following statements that I certify to be true and complete in every respect:

I certify, on behalf of: _____ that:

(Name of Bidder)

1. I have read and I understand the contents of this Certificate;
2. I understand that the accompanying bid will be disqualified if this Certificate is found not to be true and complete in every respect;
3. I am authorized by the bidder to sign this Certificate, and to submit the accompanying bid, on behalf of the bidder;
4. Each person whose signature appears on the accompanying bid has been authorized by the bidder to determine the terms of, and to sign the bid, on behalf of the bidder;
5. For the purposes of this Certificate and the accompanying bid, I understand that the word "competitor" shall include any individual or organization, other than the bidder, whether or not affiliated with the bidder, who:
 - (a) has been requested to submit a bid in response to this bid invitation;
 - (b) could potentially submit a bid in response to this bid invitation, based on their qualifications, abilities or experience; and
 - (c) provides the same goods and services as the bidder and/or is in the same line of business as the bidder
6. The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However communication between partners in a joint venture or consortium³ will not be construed as collusive bidding.
7. In particular, without limiting the generality of paragraphs 6 above, there has been no consultation, communication, agreement or arrangement with any competitor regarding:
 - (a) prices;
 - (b) geographical area where product or service will be rendered (market allocation)
 - (c) methods, factors or formulas used to calculate prices;
 - (d) the intention or decision to submit or not to submit, a bid;

- (e) the submission of a bid which does not meet the specifications and conditions of the bid; or
 - (f) bidding with the intention not to win the bid.
8. In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications and conditions or delivery particulars of the products or services to which this bid invitation relates.
 9. The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.

³ Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

10. I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

.....
Signature	Date
.....
Position	Name of Bidder

TERMS OF REFERENCE FOR THE APPOINTMENT OF A PANEL OF AUDIT EXPERT SERVICES FOR ROAD TRAFFIC INFRINGEMENT AGENCY

The Road Traffic Infringement Agency would like to appoint a panel of audit experts for the provision of quality and specialist audit services for the period of three years. The areas of expertise and skills are:

SCOPE OF WORK

- 1) The successful internal audit service provider will audit selected activities under the direction of the CAE which are in line with the definition of internal auditing as defined by the Institute of Internal Auditors (IIA).
- 2) Provide RTIA with skilled auditors and supervisors to conduct internal audit projects according to the approved internal audit plan that may include the following areas:
 - ❖ Internal Audit: Risk based and cyclical audits.
 - ❖ Enterprise Risk Management Reviews.
 - ❖ Information Technology (IT) audits and IT consulting services.
 - ❖ Forensic Audits.
- 3) Assist with reviewing of the action plans and follow up on action plans that will be compiled by management. This includes previous Internal Audit reports, External Audit reports and IT audit reports. This will be done with the intent of improving controls within the RTIA.
- 4) Transfer of skills to RTIA Audit and Risk staff.
- 5) Value added services and Technology: Bidders should state value added services they propose to bring to RTIA and the use of CAATs in performing internal audit work.
- 6) Assist RTIA in maintaining effective and efficient controls by evaluating the controls and developing recommendations for enhanced improvement.
- 7) The controls subject to evaluation should encompass, amongst other, the following:
 - ❖ The Information system environment;
 - ❖ The reliability and integrity of financial and operational information;
 - ❖ The efficiency and effectiveness of operations;
 - ❖ Safeguarding of assets; and
 - ❖ Compliance with laws, regulations and controls.
- 8) Assist RTIA in achieving objectives by evaluating and developing recommendations for the enhancement or improvement of the process through which:
 - ❖ Objectives and values are established and communicated;
 - ❖ The accomplishment of objectives is monitored;
 - ❖ Accountability is ensured;

- ❖ Corporate values are preserved;
- ❖ All internal audits are to be conducted according to the approved internal Audit plan;
- ❖ In planning and conducting the work, service provider shall seek to identify serious defects in the internal controls, which might result in possible malpractices and report such defects reported immediately. This also applies to instances where serious
- ❖ fraud and irregularity is uncovered
- ❖ All internal audit work must confirm to the International Standards for the Professional Practice of Internal Auditing. The work will also be subjected to an external quality assurance review, if necessary;
- ❖ On completion of each assignment the service provider must distribute copies of the final report to the CAE and a copy of the audit file. The CAE will discuss the reports at the audit and risk committee meetings; and
- ❖ The staff should maintain their objectivity by remaining independent of the activities they audit.

The successful service provider must:

- ❖ Have no executive or managerial powers, functions or duties;
- ❖ Not be involved in the day to day operation of RTIA;
- ❖ Not be responsible for the detailed development or implementation of new systems and procedures;
- ❖ The internal audit methodology of the RTIA must be followed during the audit assignment;
- ❖ All serious defects in internal controls must be identified and reported to the CAE;
- ❖ There must be professionally qualified supervisors present throughout the duration of the contract;
- ❖ The RTIA will not be billed for any unproductive or duplicated time spent on assignments, for any reason;
- ❖ Sufficient time must be spent on assignments to ensure that quality is maintained at the highest standards;
- ❖ All staff assigned to the assignment must be properly qualified and trained with at least three (3) years' experience in Internal Audit;
- ❖ Completion of list of project team will be required to keep record of a breakdown of hours committed with relevant supporting documentation;
- ❖ Not doing any subcontracting work to RTIA for the Auditor-General during the period of appointment as the internal auditor of RTIA.
- ❖ The service provider will be responsible for its own hardware and technical software to adequately perform its functions;
- ❖ The service provider must clearly indicate its capacity to conduct simultaneous projects at the same time;
- ❖ The proposed audit team to conduct the Internal Audit work should be provided on the bid document; and

- ❖ Service Provider's independent quality assurance report must be submitted.

Technical proposal contents

The bidder's proposed key team must be suitably qualified and skilled to deliver on this contract. The proposed team must demonstrate a track record/experience in providing internal audit service in the Public Sector and should include the following:

- ❖ Capacity and experience (internal audit in Public Sector Service) of the service provider,
- ❖ Professional details (membership) and detailed CV of the assigned staff to this contract,
- ❖ Public Sector experience (exposure specific to internal audit) and specialised fields i.e. IT audit, performance information Audits, risk management, Forensic Audits etc.
- ❖ Contactable reference to enable the NLC to do referencing,
- ❖ Quality assurance programme for the audit work, and
- ❖ Demonstrate internal audit multi-project management

Proposals must address the following:

- ❖ Capacity and experience (business and internal audit) of the service provider
- ❖ The Audit approach that is in accordance with the IIA standards.
- ❖ Professional details (membership) and CV's of the assigned staff (Refer to Annexure A).
- ❖ Public Sector experience (exposure specific to internal audit).
- ❖ Contactable reference to enable client to do referencing.
- ❖ Rotation plan for personnel on the assignments.
- ❖ Coordination with in-house internal audit function.
- ❖ Service provider's quality assessment results.
- ❖ Willingness of key personnel to be vetted where there is a need.
- ❖ Compliance to the Institute of Internal Auditors' Code of Ethics.
- ❖ Quality assurance experience.
- ❖ Audit methodology.
- ❖ ICT methodology.
- ❖ Independence from the external audit activities (given that most firms are also providing external audit services through AG).
- ❖ Skills transfer plan to in-house Audit and Risk staff.
- ❖ The service provider shall furnish such additional information that the RTIA may reasonably require.

REQUIREMENTS FOR SERVICE PROVIDER SPECIALISTS AND TEAM COMPOSITION

IT Audit Specialist Team Qualification

- ❖ For the IT Audit Projects, the allocated Audit Project Manager(s) must each be in the possession of a B. Com Internal Auditing or B.Sc. Information Systems degree or equivalent and Certified Information Systems Audit (CISA) professional qualification, as a mandatory requirement. The Audit Project Managers must be identified by name(s) for each of the IT Audit Projects.
- ❖ IT auditors that are proposed to form part of the IT audit team are required to each be in the possession of a B. Com Internal Auditing or B.Sc. Information Systems degree or equivalent as a mandatory requirement. A CISA qualification for these proposed auditors will be advantageous.

Audit Specialist Team Composition and experience

- ❖ Due to the specialisation of the IT audit projects and the requirement that specialists must work independently, the minimum mandatory experience of each specialist assigned to the audit project must be at least 4 years' experience in the related areas of speciality.
- ❖ The audit team composition must provide expertise through a proven track record in the areas of: a) General and application controls (mandatory for all proposed audit specialists excluding for the Business Continuity and Network Operations specialists; b) Business Continuity; c) Network Operations; d) IT project reviews including project finances, IT project plans and related project records and deliverables; and e) Legislative and National Treasury requirements applicable to projects.
- ❖ The proposed audit project managers must have a minimum of five years' experience in managing IT Audits.

Internal Audit Specialist Team Qualification

- ❖ For the **Internal** Audit Projects, the allocated Audit Project Manager(s) must each be in the possession of a B. Com Internal Auditing or equivalent and Certified **Internal Auditor** (CIA) professional qualification, as an added advantage. The proposed audit project manager must have a minimum of five years' experience in managing **Internal Audits projects**.
- ❖ Senior and junior auditors that are proposed to form part of the internal audit team are required to each be in the possession of a Diploma in internal auditing, B. Com Internal degree or equivalent as a mandatory requirement. A CIA, PIA or IAT qualification for these proposed auditors will be advantageous.

Curricula Vitae

The bid response must include a curricula-vitae in respect of the persons to be used on the projects.

6. DELIVERABLES

The successful bidder to report directly to the CAE and shall conduct/prepare reports as directed.

In carrying out its support functions to the CAE, the appointed bidder shall produce the following:

- ❖ Prepare for approval by the CAE, a plan indicating detail of budgets and timeframes and the detail scope of the allocated internal audit projects and IT audits.
- ❖ Monthly progress reports against the approved internal audit plan.
- ❖ Submit audit reports after finalisation of projects signed by project director and submit to the CAE.
- ❖ Submit working papers of audit projects to the CAE after finalisation of the audit projects within a week.
- ❖ Prepare reports for the Audit and Risk Committee meetings as and when required by the CAE.
- ❖ The successful bidder is to ensure that the co-sourced internal audit support is conducted in accordance with standards as set by the Institute of Internal Auditors and the RTIA internal audit methodology.

DURATION

- ❖ The total duration of the project is for a period of three (3) years from the date of signing the service level agreement (SLA).
- ❖ An assessment will be performed annually on the work done by the service provider.
- ❖ The co-source hours will be determined by the Internal Audit Plan that is approved by the Audit and Risk Committee.
- ❖ RTIA has the right to amend the hours allocated for projects to be audited.

EVALUATION CRITERIA

The RTIA will evaluate all proposals in terms of the Preferential Procurement Regulation of 2017 and Preferential Procurement Policy Framework Act. No. 5 of 2000 (PPPFA). A three (3) phase evaluation criteria will be considered in evaluating the proposals, being

Pre - Qualification Criteria (Mandatory Requirements)

Bidders must submit all the mentioned below requirements. The following mandatory requirements must be met to qualify for this bid:

- A. Proof of membership with the following professional bodies (Please submit certified copies):
 - ❖ Institute of Internal Auditors South Africa (IIASA);
 - ❖ Institute of Risk Management South Africa (IRMSA);
 - ❖ Association of Certified Fraud Examiners (ACFE) South Africa;
 - ❖ Association for IT and information systems professionals; and \
 - ❖ Independent Regulatory Board for Auditors (IRBA). 8.1.2 Company registration certificates (CK). In the event of the bidder being a consortium organization, relevant shareholding certificates must be submitted (where applicable).

B. In the event of the bidder being in a joint venture, joint venture agreement must be submitted (*where applicable*).

Phase 2: Functional/technical Evaluation

Only bidders that have met the pre-qualification criteria will be evaluated for functional evaluation. In this phase the evaluation will be based on the bidders' responses in respect of the bid proposal (evaluated on the minimum functional specifications). Prospective bidders who score a minimum of 75 points or more will be considered for the next phase 3 (Price and B-BBEE status level contributor).

Criteria	Qualification and experience	Points
Relevant qualification and experience of Director/Partner, Senior manager/manager and supervisor who will be responsible for the management of audit projects.	Qualifications per Director/Partner, Senior manager/manager and supervisor: CIA/CA/CISA *2 points Other qualification *1 point Experience per Director/Partner, Senior manager/manager and supervisor in Internal Audit, forensic investigations and ICT Audit experience	25
Service Provider Experience Provide 3 reference letters from contactable existing/ recent clients (within the past 3 years) of projects successfully completed. The letter must include: company name, contact name, address, phone number, and duration of contract, value of the contract, a brief description of the services that you provided.	Public Sector experience in internal auditing and forensic investigations 8 –10 years (5 points) 10+ (15 points) Public Sector experience in ICT Audits 5 – 7 years (5 points) 8+ (15 points)	25
Company profile and skills transfer plan The proposal should include company profile. Service Provider: Skills transfer plan to in-house internal audit staff must be detailed	Company profile (5 points) Skill transfer plan as per guideline (5 points)	10

<p>in the proposal with guidelines on how this will be achieved.</p>		
<p>Audit approach and Methodology The proposal should include frameworks, tools and methodologies used. A list of Clients and the nature of projects conducted with contact details.</p>	<p>Internal Audit (20 points), Forensic investigation (10 points) IT Audit (10 points), including IT audit approach relating to:</p> <ul style="list-style-type: none"> • BCP &DRP • Information security management/cybersecurity 	<p>40</p>