



STRATEGIC PLAN

2020 - 2025

31 July 2020

Foreword by the MINISTER OF TRANSPORT



The development of this strategic Plan was guided by the Revised Framework for Strategic Plans and Annual Performance Plans. The 2020 – 2025 Strategic Plan for the Road Traffic Infringement Agency (RTIA) is a result of wide consultation, strategic analysis and reflection on the national priorities of government related to the provision of a safe and reliable transport environment.

COVID-19 has brought the entire country and the world into a total shutdown. President of the Republic of South Africa imposed total lockdown in the country since the 26 March 2020. The negative impact of the COVID-19 pandemic necessitated that changes be effected in the strategic plan to ensure delivery of services to all the road users

The achievement of this revised Strategic Plan will enable the Agency to realise the targets embodied in the National Development Plan (NDP), the 7 Apex priority list and the Department of Transport priorities, especially the objective of accelerating transformation towards greater economic participation and building social cohesion. Furthermore, the 2020 – 2025 Strategic Plan will create a platform for the development of comprehensive programmes to improve road user behaviour for increased compliance to all road traffic laws, pursuant to encouraging safer road users in accordance with Pillar 4 of the Global Plan for the Decade of Action for Road Safety 2011-2020.

This Strategic Plan therefore incorporates the Medium-Term Expenditure Framework (MTEF) targets and key government priorities and is geared towards contributing to the successful achievement of the strategic outcomes of the Department of Transport (DoT) in line with government's priorities. I am confident that the successful achievement of the deliverables contained in this Strategic Plan will result in the Agency and the transport fraternity realising the Constitutional imperatives of the protection of lives of all road users in South Africa.

I hereby wish to reaffirm my confidence in the Accounting Authority, the senior management team and staff in the RTIA. I further commit to provide the requisite support and guidance for the successful implementation of this Strategic Plan.

A handwritten signature in black ink, appearing to read 'Fikile Mbalula'. The signature is written over a horizontal line.

Mr FA Mbalula,

MP Minister of Transport

Executive Authority



Overview by the Accounting Authority

Strategic planning in government is underpinned by the Medium-Term Strategic Framework (MTSF) which reflects political outcomes priorities. In line with the changes in Government's approach to planning, monitoring and evaluation, this MTSF is geared at achieving the government's key priorities and is aligned to its election mandate.

As the Accounting Authority in terms of section 49 (2) (b), I am satisfied with the process undertaken by Management in the development of the revised Strategic Plan and take full responsibility for the strategic priorities outlined herein for the period 2020 to 2021, and have confidence that it lays a solid foundation for ensuring a transport sector that is safe and secure for the country. The Agency has been negatively affected by the COVID-19 pandemic which made it necessary to review the strategic plan targets in order to continue to implement the mandate of the Agency.

We have aligned the strategic objectives to the 7 Apex priorities to bring meaningful and immediate changes to the lives of all South Africans. The alignment of our strategic objectives will ensure that we build social cohesion through legislative AARTO public awareness and education to all road users. The assent of the AARTO Amendment Act by the President will enable implementation of the national Rollout of AARTO as a catalyst for the achievement of the objectives and indicators set out herein. The Agency is seriously concerned about the impact of the COVID-19 pandemic that has caused havoc in the country and made the applicable risk adjustments in this strategic plan. We shall continue monitoring the situation, particularly the impact on the Agency's business and financial resources with a view to developing a long-term force majeure mitigation strategy for implementation in the future.

For the limited resources available to the the Agency, I have full confidence that through this plan, the RTIA will continue playing an important, though somewhat restricted role in providing a safe environment and protecting the future of our country and hereby endorse it.



Mr JR Chuwe

Registrar and Sec 49 2 (b) Accounting Authority



Official SIGN-OFF






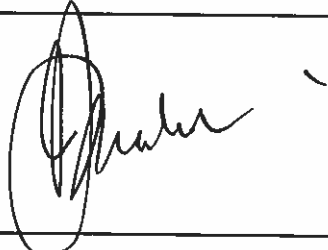
It is hereby certified that this Strategic Plan for 2020 – 2025;

Was developed by the management of the RTIA under the guidance of the Accounting Authority.

Was prepared in line with the current priorities of the 6th Administration and NDP; and

Accurately reflects the performance targets which the RTIA will endeavour to achieve given the resources made available in the budget for the period 2020 – 2025.



Name	Title	Signature	Date
Mr Jacob Mmekoa	Head: Corporate Strategy & Reporting		2020 – 07 - 28
Adv. Mncedisi Bilikwana	Executive: Legal & Governance		2020 – 07 - 28
Ms Keobakile Pooe	Executive: Internal Audit and Risk Management		2020 - 07 -28
Ms Palesa Moalusi	Chief Financial Officer		2020 – 07 - 28
Mr Japh Chuwe	Registrar & Accounting Authority		2020 – 07 – 28
Mr FA Mbalula, MP	Minister of Transport		

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Acronyms and Definitions

Acronym/Term	Description/Definition
AARTO	Administrative Adjudication of Road Traffic Offences
AGSA	Auditor General of South Africa
BBBEE	Broad Based Black Economic Empowerment
CBRTA	Cross Border Road Transport Agency
CPA	Criminal Procedures Act
DLTC	Driving Licence Testing Centre
EFT	Electronic Funds Transfer
eNaTis/ NaTis	National Traffic Information System
EO/s	Enforcement Order/s
ERP	Enterprise Resource Plan
EXCO	Executive Committee of the Agency
FAR	Fixed Asset Register
GAAP	Generally Accepted Accounting Practice
GRAP	Generally Recognised Accounting Practice
IA	Issuing Authority
ICT	Information and Communication Technology
JIPSA	Joint Initiative on Priority Skills Acquisition
JMPD	Johannesburg Metropolitan Police Department
LGSETA	Local Government Sector Education Training Authority
MOU	Memorandum of Understanding
MTEF	Medium Term Expenditure Framework
NCR	National Contravention Register
NDOT/DoT	National Department of Transport/Department of Transport
NRTA	National Road Traffic Act
NT	National Treasury
OHS-Act	Occupational Health and Safety Act
PDS	Point Demerit System
PFMA	Public Finance Management Act
PPP	Public Private Partnerships
PrDP	Professional Driving Permit
RAF	Road Accident Fund



Acronym/Term	Description/Definition
RPL	Recognition of Prior Learning
RO/s	Representation Officer/s
RTIA	Road Traffic Infringement Agency
RTMC	Road Traffic Management Corporation
RSA	Republic of South Africa
SANRAL	South African National Roads Agency Limited
SAPS	South African Police Service
SAPO	South African Post Office
SAQA	South African Qualification Authority
SCM	Supply Chain Management
SLA	Service Level Agreement
SMME	Small Medium and Micro Enterprise
SOP's	Standard Operating Procedures
SWOT	Strengths, Weaknesses, Opportunities, Threats
TETA	Transport Education Training Authority
TMPD	Tshwane Metropolitan Police Department
TOPC	Traffic Officers Pocket Computer
TOR	Terms of Reference
TR	Treasury Regulations
TRS	Traffic Rehabilitation School/s
UNDoARS	United Nations Decade of Action for Road Safety
VTS	Vehicle Testing Station



INTRODUCTION





1 Background

The Road Traffic Infringement Agency (RTIA) is listed as a schedule 3A public entity under the Public Finance Management Act, 1999 (Act No.1 of 1999) and complies with Treasury Regulations as well as with all other legislation such as the Protection of Personal Information Act, 2013 (Act 4 of 2013), Promotion of Access to Information Act, 2000 (Act No.2 of 2000) and the Promotion of Administrative Justice Act, 2000 (Act No. 3 of 2000). The RTIA reports to the National Department of Transport.

The RTIA was established through its founding legislation, the Administrative Adjudication of Road Traffic Offences (AARTO) Act, 1998 (Act No. 46 of 1998). This Agency was established to facilitate the adjudication process in relation to the infringement notices dispensed by the various Issuing Authorities to the alleged infringers on South African roads. The AARTO Act depicts the RTIA as an independent adjudicator designed to provide for an administratively fair and just system for road traffic law infringement whilst upholding the rights of the infringer. The RTIA's responsibilities as enshrined in the founding legislation includes the implementation of community educational and awareness programmes targeted at enhancing voluntary road traffic law compliance, and to foster behavioural change amongst road user communities. Thus, the RTIA is mandated to ensure the implementation of an objective, transparent and fair adjudication process for road traffic infringements.

Previously all traffic violations were dealt with under the Criminal Procedures Act (CPA). The establishment of Road Traffic Infringement Authority as well as the comprehensive implementation of the AARTO Act, illustrates government's commitment to decriminalise road traffic violations. Provision of an Adjudication Authority thus provides for an alleviation of the administrative burden on the judicial authorities and courts of law as most traffic law infringements will be provided for under the RTIA as an Adjudication Authority.

Under the guidance and leadership of the National Department of Transport, the RTIA embarked on a highly consultative process to amend the AARTO Act. The AARTO Amendment Bill was finalised during the 2019/20 financial year and promulgated by parliament into law during August 2019.

The table below outlines a summary of RTIA's key implications for the AARTO Amendments.

Table 1: RTIA's Key Implications of the AARTO Amendments

Aspect	New provision	Implications
Service of documents	Previously used to have service by registered mail or in person; and now includes electronic service	This means, RTIA will use additional electronic service platforms, such as e-mail. Other means like SMS, Facebook and WhatsApp can be used to remind an infringer of the documents served
	Re-service of documents	This provides for the re-service of Infringement notices, Courtesy Letters or Enforcement Orders, where such are claimed not to have been received



Aspect	New provision	Implications
		or served in the first place
Involvement of Sheriffs	This provision is repealed.	This means that RTIA have removed the threat of confiscation of movable property. No one will ever lose their possessions due to outstanding penalties
Warrants Execution	The provision for the Registrar to authorise warrants of execution is repealed	There will no longer be any warrants of execution issued
Election to go to court	This provision is now repealed.	<ul style="list-style-type: none"> The AARTO is an administrative process that is dealt with outside of court. To challenge any outstanding traffic penalties, one must first exhaust the internal administrative process, before going to court. The consequential court processes have also been repealed because they are no longer applicable, since warrants of execution, election to go to court and appointment of sheriffs are repealed.
Rehabilitation programmes	This is a new provision	Habitual infringers – meaning those who had their driving licences suspended for more than 2 occasions, will be redirected to attend compulsory rehabilitation programmes
Infringement Appeals Tribunal (IAT)	This is a new provision. It replaces the election to go to court.	<ul style="list-style-type: none"> This provision provides further protection to infringers. The IAT will oversee the operations of the RTIA and offers further protection for the process. This means that instead of going to court, an infringer can apply for a review or appeal the decision of the Representation Officer. Only in the last resort, after exhausting the internal process, can an infringer take the decision of the tribunal for a review or appeal to the Magistrates Court.

2 Scope

The Strategic Plan of the RTIA sets out the Agencies' strategic priority programmes and project plans for a five-year period, as approved by the Accounting Authority and the Executive Authority within the scope of available resources.

The Strategic Plan focuses on Strategic Outcome-oriented Goals for the Agency as a whole, the Strategic Objectives for each of the main service delivery areas as aligned to the budget programme structure and, where relevant, also the budget sub-programmes.



The Strategic Plan covers a period of five years in line with the Medium Term Strategic Framework (MTSF) which will ensure the necessary alignment to achieve the RTIA commitments as set out in the Performance Agreement between the Board and the Minister.

3 Strategic Drivers

The engagement is guided by the following strategic drivers to enable RTIA to effectively execute their mandate and mission:

- a) Providing targeted road user and community education and communication programmes
- b) Promotion of procedurally fair, lawful and reasonable administrative adjudication
- c) Imposing penalties and demerit points
- d) Effective administration and management of the suspension and cancellation of driving licences and operator cards
- e) Rewarding compliant offenders
- f) Contributing to the broader national transport agenda
- g) NDP goals realisation
- h) Compliance to relevant legislation and regulations
- i) Enabling financial sustainability and cost optimisation
- j) Building and maintaining sustainable, objective, and transparent adjudication capabilities

4 Stakeholder Analysis

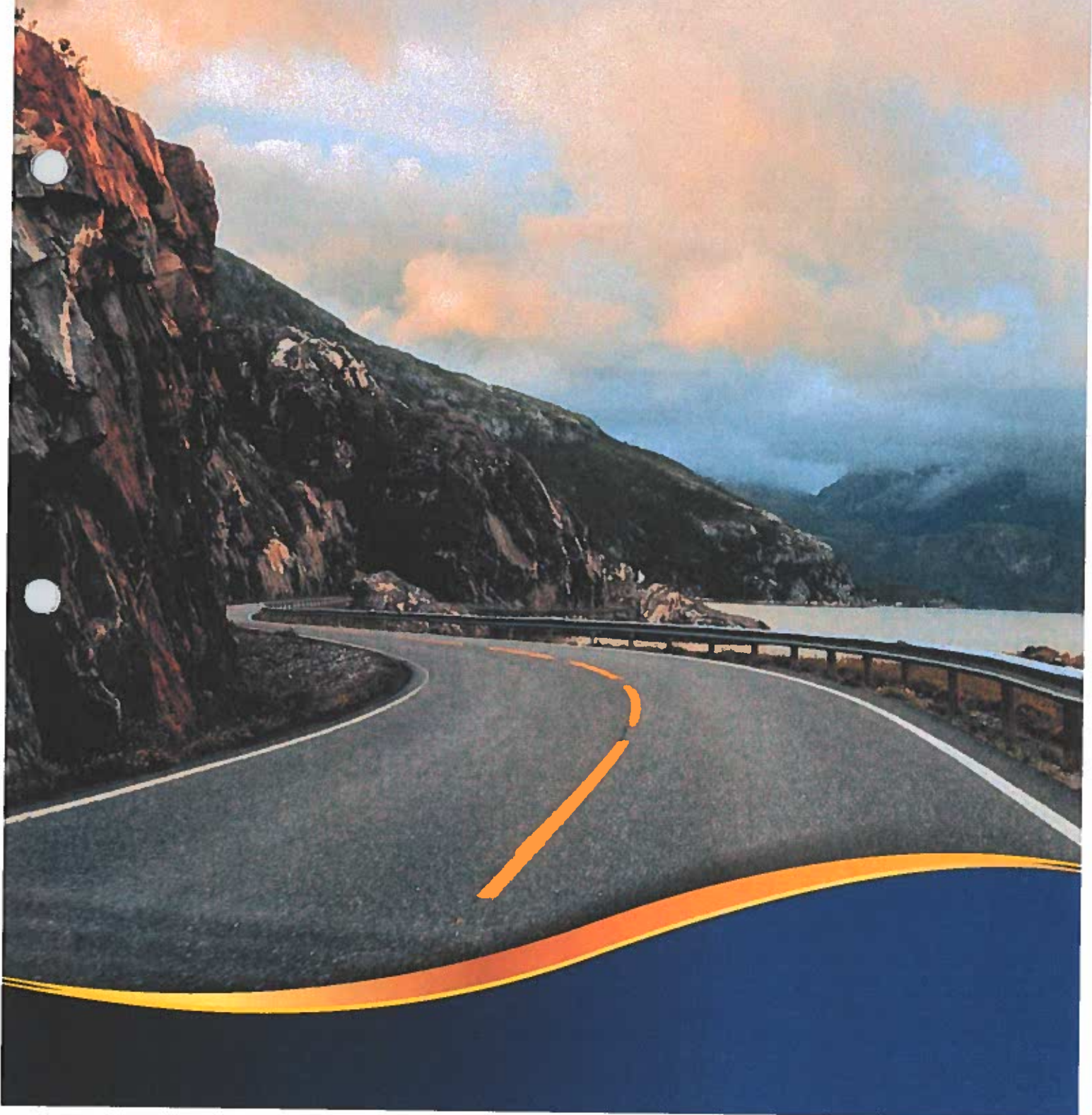
Stakeholder	Influence	Expectations
Infringers, Drivers	Road traffic infringements, penalties, road injuries and deaths.	Fair, lawful, reasonable and reliable road infringements management processes.
Road Users, Citizens	Accountability for road traffic infringements and consequences.	RTIA transparency, accessibility and road user safety education
IA, DLTC, RA, SAPO, Local government/Province	Fairness and transparency in adjudication and administration of AARTO so that people can trust the intention and spirit of the AARTO Act	Build trust through facilitation to foster compliance with traffic laws. Law enforcement, Actual Implementation and Compliance
SAPO	Link RTIA, business and public with each other on their established infrastructure. Influence on operations	Provide postal and logistics to the public (Service Delivery)
Financial Institutions/ Bank payment platforms	Revenue as well as accessibility of payment platforms	Provide quality financial payment platforms to cater to online/real time financial transactions



Stakeholder	Influence	Expectations
Political groups	Information sharing, workshops, seminars that report on and assess the implementation of road and economic policies	<ul style="list-style-type: none"> • Lay the bases for partnership in action • Promoting a shared vision of South Africa's development strategy • Social dialogue on broad policy framework • Securing the commitment and active participation of all role players on traffic and transport environment. • Political support
DoT	Enable accurate implementation, oversight	<ul style="list-style-type: none"> • Buy in, support rollout and strategic direction
Parliament	Legislation and oversight	<ul style="list-style-type: none"> • Approval of legislation and endorsement
Public Transport Associations	Influence on operators	<ul style="list-style-type: none"> • Compliance
MUARC- Monash University Accident Research Centre and Academic Institutions	Benchmark and policy influences	<ul style="list-style-type: none"> • Advice and Support
RTMC	Influence on enforcement standards and NCR	<ul style="list-style-type: none"> • Road safety strategy and system support training
SANRAL	Influence on the integrity of operations	<ul style="list-style-type: none"> • Compliance
MINMEC	Influence on implementation	<ul style="list-style-type: none"> • Support endorsement and collaboration
NPA/Justice	Prosecutions and rehabilitation	<ul style="list-style-type: none"> • Collaborations
TETA and DSBD	Operations	<ul style="list-style-type: none"> • Collaborations
Fleet Management Companies	Operations	<ul style="list-style-type: none"> • Compliance
NICRO	Rehabilitation and Implementation	<ul style="list-style-type: none"> • Collaborations and thought leadership



PART A: OUR MANDATE





5 Constitutional mandate

The RTIA carries out its work having due regard to the fundamental rights as contained in the Constitution of the Republic of South Africa. Specifically, the RTIA has a direct impact on sections 32, 33 and 34 of the Constitution, under the Bill of Rights Chapter.

Table 2: RTIA Constitutional Context

Constitution	RTIA Implications/Contribution
Section 9,10,14	<p>'To the extent to which applicable'</p> <p>Access to Information</p> <ol style="list-style-type: none"> Everyone has the right of access to <ol style="list-style-type: none"> <i>Any information held by the state; and</i> <i>Any information that is held by another person and that is required for the exercise or protection of any rights.</i> National legislation must be enacted to give effect to this right and may provide for reasonable measures to alleviate the administrative and financial burden on the state.
Section 33	<p>Just administrative action</p> <ol style="list-style-type: none"> Everyone has the right to administrative action that is lawful, reasonable and procedurally fair. Everyone whose rights have been adversely affected by administrative action has the right to be given written reasons. National legislation must be enacted to give effect to these rights, and must <ol style="list-style-type: none"> <i>Provide for the review of administrative action by a court, or, where appropriate, an independent and impartial tribunal;</i> <i>Impose a duty on the state to give effect to the rights in subsections (1) and (2); and</i> <i>Promote an efficient administration.</i>
Section 34, 35	<p>Access to Courts</p> <p>Everyone has the right to have any dispute that can be managed and adjudicated by the application of law decided in a fair public hearing before a court; or where appropriate, another independent and impartial tribunal or forum.</p>



6 Legislative and policy mandates

6.1 RTIA Mandate

The Road Traffic Infringement Agency (RTIA) is listed as a schedule 3A public entity under the Public Finance Management Act, 1999 (Act No.1 of 1999) and complies with Treasury Regulations as well as with all other legislation such as the Protection of Personal Information Act, 2013 (Act 4 of 2013), Promotion of Access to Information Act, 2000 (Act No.2 of 2000) and the Promotion of Administrative Justice Act, 2000 (Act No. 3 of 2000). The RTIA reports to the National Department of Transport.

The RTIA was established through its founding legislation, the Administrative Adjudication of Road Traffic Offences (AARTO) Act, 1998 (Act No. 46 of 1998). This Agency was established to facilitate the adjudication process in relation to the infringement notices dispensed by the various Issuing Authorities to the alleged infringers on South African roads.

The AARTO Act depicts the RTIA as an independent adjudicator designed to provide for an administratively fair and just system for road traffic law infringement whilst upholding the rights of the alleged infringer. The RTIA's responsibilities as enshrined in the founding legislation includes the implementation of community educational and awareness programmes targeted at enhancing voluntary road traffic law compliance, and to foster behavioural change amongst road user communities. Thus, the RTIA is mandated to ensure the implementation of an objective, transparent and fair adjudication process for road traffic infringements.

Previously all traffic violations were dealt with under the Criminal Procedures Act (CPA), the establishment of an Adjudication Authority as well as the comprehensive implementation of the AARTO Act, illustrates government's commitment to decriminalise road traffic violations. Provision of an Adjudication Authority thus provides for an alleviation of the administrative burden on the judicial authorities and courts of law as most traffic law infringements will be provided for under the RTIA as an Adjudication Authority.

The following is summary of the Objects & Functions of AARTO as outlined in Section 4(1) of the Act, as amended:

- a) to administer a procedure to discourage the contravention of road traffic laws and to support the adjudication of infringements as set out in subsection (2);
- b) to enforce penalties imposed against persons contravening road traffic laws as set out in subsection (3);
- c) to administer and manage a point demerit system for infringements and offences; and
- d) to undertake community education and community awareness programmes in order to ensure that individuals understand their rights and options as set out in subsection (5)



The Agency must, in order to properly perform its functions, establish the prescribed information management system and database which is connected with the national contraventions register, and utilise such database to create, process and maintain records with regard to any action performed by it in terms of this Act. - Integrated Information, ICT & Operating Technologies

6.2 Legislative & Compliance Framework

Legislation/ Regulation	Purpose/Objectives
AARTO Act No 46 of 1998	<ul style="list-style-type: none"> • Founding Act of RTIA • To encourage compliance with the national and provincial laws relating to road traffic and to promote road traffic safety; • to encourage the payment of penalties imposed for infringements and to allow infringers to make representations; • to establish a procedure for the effective and expeditious adjudication of infringements; • to alleviate the burden on the courts of trying offenders for infringements; • to penalise drivers and operators who are guilty of infringements or offences through the imposition of demerit points leading to the suspension and cancellation of driving licences, professional driving permits or operator cards; • to reward law-abiding behaviour by reducing demerit points imposed if infringements or offences are not committed over specified periods; • to establish an agency to support the law enforcement and judicial authorities and to undertake the administrative adjudication process; and <p>to strengthen co-operation between the prosecuting and law enforcement authorities by establishing a board to govern the agency.</p>



Legislation/ Regulation	Purpose/Objectives
AARTO Amendment Act (Act 4 of 2019)	<ul style="list-style-type: none"> • To amend the Administrative Adjudication of Road Traffic Offences Act, 1998 • substitute and insert certain definitions; to improve the manner of serving documents to infringers; to add to the functions of the Road Traffic Infringement Authority; • to repeal certain obsolete provisions; to establish and administer rehabilitation programmes; • to provide for the apportionment of penalties; • to provide for the establishment of the Appeals Tribunal and matters related thereto; • to effect textual corrections; and to provide for matters connected therewith
Promotion of Administrative Justice Act, 2002 (Act 3 of 2002)	<ul style="list-style-type: none"> • To give effect to the right to administrative action that is lawful, reasonable and procedurally fair and to the right to written reasons for administrative action as contemplated in section 33 of the Constitution of the Republic of South Africa, 1996; • And to provide for matters incidental thereto.
Promotion of Access to Information Act (Act 2 of 2000)	<ul style="list-style-type: none"> • To give effect to the constitutional right of access to any information held by the State and any information that is held by another person and that is required for the exercise or protection of any rights; and • To provide for matters connected therewith.
National Road Traffic Act, 1996 (Act 93 of 1996)	<ul style="list-style-type: none"> • To provide for road traffic matters which shall apply uniformly through the Republic and for matters connected therewith.
Criminal Procedure Act, No. 51 of 1977	<ul style="list-style-type: none"> • To make provision for procedures and related matters in criminal proceedings.



Legislation/ Regulation	Purpose/Objectives
Prevention and Combating of Corrupt Activities Act, 2004 (Act 12 of 2004).	<ul style="list-style-type: none"> • To provide for the strengthening of measures to prevent and combat corruption and corrupt activities; • To provide for the offence of corruption and offences relating to corrupt activities; • To provide for investigative measures in respect of corruption and related corrupt activities; • To provide for the establishment and endorsement of a Register in order to place certain restrictions on persons and enterprises convicted of corrupt activities relating to tenders and contracts; • To place a duty on certain persons holding a position of authority to report certain corrupt transactions; • To provide for extraterritorial jurisdiction in respect of the offence of corruption and offences relating to corrupt activities; and • To provide for matters connected therewith.
Public Finance Management Act, 1999 (Act 1 of 1999) - PFMA	<ul style="list-style-type: none"> • To regulate financial management in the national and provincial government; • To ensure that all revenue, expenditure, assets and liabilities of those governments are managed efficiently and effectively; • To provide for the responsibilities of persons entrusted with the financial management in those governments; • And to provide for matters connected therewith.



Legislation/ Regulation	Purpose/Objectives
Electronic Communications and Transactions Act, 2000 (Act 25 of 2000)	<ul style="list-style-type: none"> • To provide for the facilitation and regulation of electronic communications and transactions; • To provide for the development of a national e-strategy for the Republic; • To promote universal access to electronic communications and transactions and the use of electronic transactions by SMMEs; • To provide for human resource development in electronic transactions; • To prevent abuse of information systems; • To encourage the use of e-government services; and • To provide for matters connected therewith.
Protection of Personal Information Act, 2013 (Act 4 of 2013).	<ul style="list-style-type: none"> • To promote the protection of personal information processed by public and private bodies; • To introduce information protection principles so as to establish minimum requirements for the processing of personal information; • To provide for the establishment of an Information Protection Regulator; • To provide for the issuing of codes of conduct; • To provide for the rights of persons regarding unsolicited electronic communications and automated decision making; • To regulate the flow of personal information across the borders of the Republic; and • To provide for matters connected therewith.
Preferential Procurement Policy Framework Act, 2000 (Act 5 of 2000)	<ul style="list-style-type: none"> • To give effect to section 217 (3) of the Constitution by providing a framework for the implementation of the procurement policy contemplated in section 217 (2) of the Constitution; • And to provide for matters connected therewith.



Legislation/ Regulation	Purpose/Objectives
Broad-Based Black Economic Empowerment Act, 2003 (Act 53 of 2003).	<ul style="list-style-type: none"> • To establish a legislative framework for the promotion of black economic empowerment; • To empower the Minister to issue codes of good practice and to publish transformation charters; • To establish the Black Economic Empowerment Advisory Council; and • To provide for matters connected therewith.

7 Relevant Court Rulings



Name of Litigant	Nature of the litigation	Current status	Management Actions
Fines 4 U & Another	Litigant seeking to review decisions taken by representation officers in terms of section 18 of the AARTO Act.	Judgement finalised and ruling made against the Agency with costs. The Agency unsuccessfully appealed the judgement and the cost order of the appeal application was made in favour of Fines 4 U.	Adjudications Framework reviewed and takes into account all the issues raised in the judgement.
Edwards Matter	The Applicant in this matter requests the court to order the Agency and Tshwane Metropolitan Municipality (joined as the second respondent in the matter) to issue him with his driving licence and licence disc in respect of various vehicles under the circumstances where the Applicant has been served with an enforcement order.	<p>The Agency fended this matter. Before the date of set down, the Parties agreed to settle the matter out of court on the basis that Mr Edwards has rendered his application academic by paying for the enforcement order.</p> <p>After paying for the enforcement order, Mr Edwards could transact on eNaTIS by renewing his driving licence and licence disc.</p>	Mr Edwards filed his notice of withdrawal and the matter was accordingly removed from the roll.



Name of Litigant	Nature of the litigation	Current status	Management Actions
Howard Dembovsky v of Department Transport and Others	<p>Mr Dembovsky has lodged this application to, amongst others, contest the constitutionality of some of the provisions in the AARTO Act as well as its Regulations. He alleges that those identified provisions of the Act contravene in the main, the right to a fair trial as enshrined in the Constitution. This application also seeks to request cancellation of all infringement notices in an instance where infringers have elected to be tried in court but have still not been served with summonses for a period over 18 months, as prescribed by the Director of Public Prosecutions.</p>	<p>Legal Services has gone through the papers and believe there is a cause to oppose the application. Consequently the Agency will file a notice of intent to oppose the matter.</p>	<p>The Agency is opposing the matter.</p>



Name of Litigant	Nature of the litigation	Current status	Management Actions
JPSA v The Registrar and Others	JPSA lodged the matter on the basis that the Agency is not utilising the prescribed method of service as per its legislative precepts	The Agency successfully defended the matter and was awarded costs. A Supreme Court of Appeal upheld the ruling and JPSA has lodged Constitutional Court action.	The Agency is opposing the matter and is awaiting the date for Constitutional Court hearing of the matter



8 Strategic Context

8.1 National Development Plan

The NDP focus is that by 2030, investment in the transport sector will bridge geographic distances, foster reliability and safety so that all South Africans access previously inaccessible economic opportunities, social space and services.

For example, NDP's Outcomes 4 (Economy infrastructure –The foundation of social and economic development) & Outcome 12 (Build safer communities) just like the SDGs' objectives, seek to end poverty and hunger in South Africa as it is in the world. The SDGs aim to combat inequalities across all countries; to build peaceful, just and inclusive societies; to protect human rights and promote gender equality and the empowerment of women and girls; and to ensure the lasting protection of the planet and its natural resources.

The National Development Plan aims to eliminate poverty and reduce inequality by 2030. The insights of the National Development Plan (NDP) are self-evident and it continues to provide an outstanding framework for all South African public sector entities to align their work to. During the strategic planning process, the RTIA ensured the alignment of its Strategy and APP with the NDP, the Government's Medium-Term Strategic Framework (MTSF), the 7 Apex Priorities as well as the strategic direction of the DoT.

The following figure shows the ways in which the RTIA aligns to the NDP 2030.

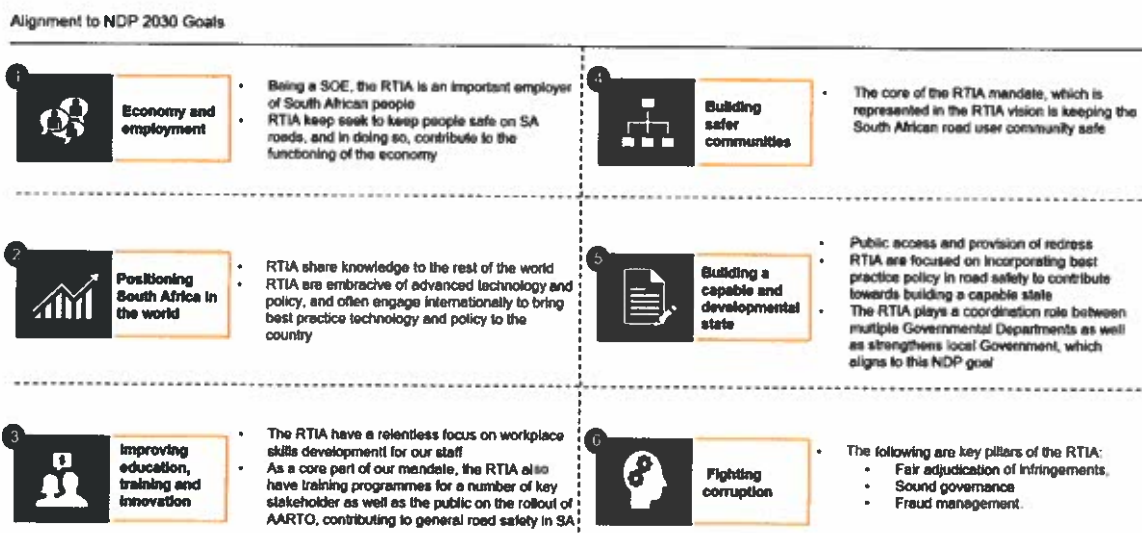


Figure 1: RTIA alignment to NDP 2030

RTIA seeks to prioritise and make impact on the following NDP pillars within the next five years:

- Building safer communities
- Economy and employment
- Economic Infrastructure



8.2 DoT Five Year Strategic Plan Alignment

The focus of this 6th administration is on accelerated implementation, working with all South Africans. Each of these 7 areas have apex priorities, towards which energy and resources shall be directed, and around which Government shall build social compacts with all stakeholders.

The following is a summary of the apex priorities:

- Priority 1: Economic transformation and job creation - transforming the economy to serve all South Africans, and create Jobs
- Priority 2: Education, skills and health - investing in the capabilities of all the people, through an Education and Skills revolution and Health
- Priority 3: Consolidating the social wage through reliable and quality basic services - advancing social transformation through the strengthening of the Social Wage
- Priority 4: Spatial integration, human settlements and local government - tackle the persistence of apartheid Spatial development to build sustainable and safe Human settlements, towns and rural areas, and effective Local government
- Priority 5: Social cohesion and safe communities - advance nation-building and social cohesion, and a safe South Africa for all
- Priority 6: A capable, ethical and developmental state - renewing and building a Capable, Honest Developmental State and a Social compact
- Priority 7: Build a better Africa and World

It is envisaged that RTIA, within the next five years will contribute directly and indirectly to the key outcomes for the DoT, as outlined in the table below.



APEX PRIORITY	DoT Programme	DoT Outcome	RTIA Outcome/Contribution
PRIORITY 1: ECONOMIC TRANSFORMATION AND JOB CREATION	Programme: More jobs	Creating more decent jobs	Improve RTIA Accessibility & Voluntary Compliance
	Programme: Industrialisation, localisation and exports	Re-industrialisation of the economy and emergence of globally competitive sectors	
	Programme: Innovation	Increased access to and uptake of ICT	Integrated point demerit ecosystem
	Programme: Broadening and Sustaining Ownership	Increased ownership and participation by historically disadvantaged individuals	Improve RTIA Accessibility & Voluntary Compliance through extension of strategic partnerships
	Programme: Reduce Concentration and Monopolies	Competitive and accessible markets through reduced share of dominant firms in priority sectors	
	Programme: Reduce illicit Activities and Corruption	Reduced illicit activity and improved tax collection	
	Youth	Increased economic participation by youth	
	Women	Transform ownership and management patterns by supporting women-owned and led enterprises.	
	Persons with Disabilities	Transform ownership and management patterns by supporting enterprises led by persons with disabilities	



APEX PRIORITY	DoT Programme	DoT Outcome	RTIA Outcome/Contribution
	Digital economy and the Fourth Industrial Revolution (4IR)	Inclusive economy, enabled by advanced digital technologies, which provides equally accessible, intelligent and competitive products and services through government and industry	Reliable Road User Research & Analytics
	Infrastructure sectors	Functional, reliable and efficient economic infrastructure sectors	Integrated point demand ecosystem
	Programme: Education and Skills	Improved education, training and innovation	Cultivate Good Road User Behaviour through educational programmes
PRIORITY 2: EDUCATION, SKILLS AND HEALTH	Programme: Spatial Integration	A spatially just and transformed national space economy that enables equal access to social services and economic opportunities in cities, regions and rural areas	Improve RTIA Accessibility & Voluntary Compliance
	Programme: Urban and rural development	Improved connectivity and linkages and enhance the developmental potential of each area towards improving the quality of life in each area	
	Programme: Environmental Management	Protection of vulnerable communities and workers to the transition to low carbon economy	
	Programme: Public transport	Increased access to affordable and integrated transport system	



APEX PRIORITY	DoT Programme	DoT Outcome	RTIA Outcome/Contribution
PRIORITY 5: SOCIAL COHESION AND SAFE COMMUNITIES	Programme: Building safer communities	Strengthened criminal justice system, professionalised South African Police Service, and improved community participation in public policing	Efficient & Fair Adjudication Process
PRIORITY 6: A CAPABLE, ETHICAL AND DEVELOPMENTAL	Programme: Fighting corruption and promoting integrity	Improved level of trust in the public sector and credibility of public institutions	Efficient & Fair Adjudication Process
PRIORITY 7: A BETTER AFRICA AND WORLD	Increased investment opportunities for foreign direct investment in the South African economy		



8.3 DoT Five Strategic Thrust

The Minister of Transport has identified five strategic thrusts that will overall define the work of Department and the political agenda for the medium term. The five priorities represent an intervention extra ordinaire aimed at streamlining the focus of the Department, managing the delicate balance between sustaining continuity while simultaneously effecting radical change.

The table below outlines a summary of the envisaged RTIA contribution to the specific DoT strategic thrusts.

Table 3: RTIA Contribution to DoT Five Strategic Thrusts

DOT Strategic Thrust		Envisaged RTIA Contribution
Priority 1: Safety as an enabler of service delivery	While the mandate for protecting citizens from crime vests with the police, the public transport operator has a concomitant obligation to take responsibility measures to ensure the safety of citizens in its operational environment	Enhance road-user behaviour, sustained enforcement of road traffic laws & standards through procedurally fair, reasonable and lawful administrative processes
Priority 2: Public Transport that enables social emancipation and an economy that works	An economy that functions 24 hours requires a public transport system able to support industries be enabling workers to reach places of economic activity around the clock. An efficient, affordable safe and reliable public transport system is a pre-requisite if transport has to play its role as a driver of economic activity and enabler of economic output.	Build relevant capacity as road traffic infringement regulator, adjudicator & integrator to stimulate economic growth and support social-economic development goals
Priority 3: Infrastructures build that stimulates economic growth and job creation	Over the medium term, the Department is responsible for a total capital budget of R137 billion. This is a massive resource which should be directed such that it achieves maximum impact in growing the economy and creating jobs	Generate virtuous cycle of rising confidence, rising investment, higher employment, rising productivity and incomes through effective partnerships across society
Priority 5: Accelerating transformation towards greater economic participation	DOT and all its entities' contribution to broad-based black economic empowerment, skills development, innovation and the growth of small, medium, macro enterprises and cooperatives, with bias towards township, small towns and rural economy; and delivery high impact socio-economic projects	

8.4 United Nations Sustainable Development Goals

The 2030 Agenda for Sustainable Development, adopted by world leaders at the United Nations in 2015, sets out an ambitious plan of action for the peoples of the world. There are seventeen (17) SDGs and 169 targets which validate the scale and drive of the new universal agenda which seeks to end global poverty and hunger. The Sustainable Development Goals (SDGs) are in line with our National Development Plan (NDP).

Countries including South Africa are committed to the SDGs with the aim to creating conditions for sustainable, inclusive and sustained economic growth, shared prosperity and decent work for all,



considering different levels of national development and capacities. The SDGs are integrated and inseparable and balance the three dimensions of sustainable development, namely, the economic, social and environmental. It also seeks to combat inequalities within and among countries; build peaceful, just and inclusive societies; to protect human rights and promote gender equality and the empowerment of women and children; and to ensure the lasting protection of the planet against the reality of global warming and its effect on natural resources. Countries committed to the SDGs aim to create conditions for sustainable, inclusive and sustained economic growth, shared prosperity and decent work for all, considering different levels of national development and capacities.

Out of the 17 SDGs, three are applicable to the RTIA's AARTO implementation. Goal 9 (Industry innovation & Infrastructure), Goal 16 (Peace, Justice & Strong Institutions) and Goal 17 (Partnerships for goals) summarise the theme for innovative industry and road infrastructure to enhance peace, justice through strong regulated transport institutions. The above-mentioned SDGs have several sub-goals that cover areas from road infrastructure to Information technology.

The table below outlines the goals and sub-goals most relevant to the RTIA.

Table 4: United Nations Sustainable Development Goals

SDG	Sub Goal/Target
SDG9: Industry innovation & Infrastructure	<ul style="list-style-type: none"> • Develop quality, reliable, sustainable and resilient infrastructure, including regional and trans-border infrastructure • Promote inclusive and sustainable industrialization • Increase the access of small-scale industrial and other enterprises • By 2030, upgrade infrastructure and retrofit industries to make them sustainable • Enhance scientific research, upgrade the technological capabilities of industrial sectors • Facilitate sustainable and resilient infrastructure development in developing countries • Support domestic technology development,
SDG16: Peace, Justice & Strong Institutions	<ul style="list-style-type: none"> • Significantly reduce all forms of violence • End abuse, exploitation, trafficking& all forms of violence against & torture of children • Promote the rule of law at the national & international levels • By 2030 significantly reduce illicit financial & arms flows • Substantially reduce corruption & bribery • Develop effective, accountable & transparent institutions at all levels • Ensure public access to information • Strengthen relevant national institutions • By 2030 provide legal identity • Promote & enforce non-discriminatory laws
SDG17: Partnerships for Sustainable Development	FINANCE <ul style="list-style-type: none"> • Strengthen domestic resource mobilisation • Developed countries to implement fully their official development assistance



SDG	Sub Goal/Target
goals	<p>commitment</p> <ul style="list-style-type: none"> • Mobilise additional financial resources for developing countries • Assist developing countries in attaining long term debt sustainability • Adopt & implement investment promotion regimes <p>TECHNOLOGY</p> <ul style="list-style-type: none"> • Enhance north-south, south-south & triangular regional & international cooperation • Promote the development, transfer, dissemination & defusing of environmentally sound technologies • Fully operationalise the technology bank & science <p>CAPACITY BUILDING</p> <ul style="list-style-type: none"> • Enhance international support for implementing effective & targeted capacity building <p>TRADE</p> <ul style="list-style-type: none"> • Promote a universal, rules-based, open, non-discriminatory & equitable multilateral trading system under the world trade organisation • Significantly increase the export of developing countries • Realise timely implementation of duty-free & quota <p>SYSTEMETIC ISSUES (Policy & Institutional coherence)</p> <ul style="list-style-type: none"> • Enhance global macro-economic stability • Enhance policy coherence for sustainable development • Respect each counties' policy space & leadership <p>MULTI-STAKEHOLDER PARTNERSHIPS</p> <ul style="list-style-type: none"> • Enhance the partnership for sustainable development • Encourage & promote effective public, public-private & civil society partnerships

8.5 African Union Agenda (AU) 2063

Agenda 2063 is a strategic framework for the socio-economic transformation of Africa over the next 50 years. It builds on and seeks to accelerate the implementation of past and existing continental initiatives for growth and sustainable development. Agenda 2063 ambitions including an integrated continent, politically united and based on the ideals of Pan-Africanism and the vision of Africa's Renaissance; an Africa of good governance, democracy, respect for human rights, justice and the rule of law; a peaceful and secure Africa with a strong cultural identity, common heritage, shared values and ethics; an Africa whose development is people-driven, relying on the potential of African people, and Africa as a strong, united and influential global player and partner.

These aspirations have priority areas which are aligned to the United Nation's SDGs and the RTIA's approved AARTO implementation.

Linking the implementation of AARTO to the African Union priorities implies linking it to SDGs and SADC development priorities. All these national and international role-players become RTIA's stakeholders, especially when implementing the AARTO Act.



8.6 UN Global Plan

The figure below depicts the key pillars of the UN Global Plan for 2011 – 2020.

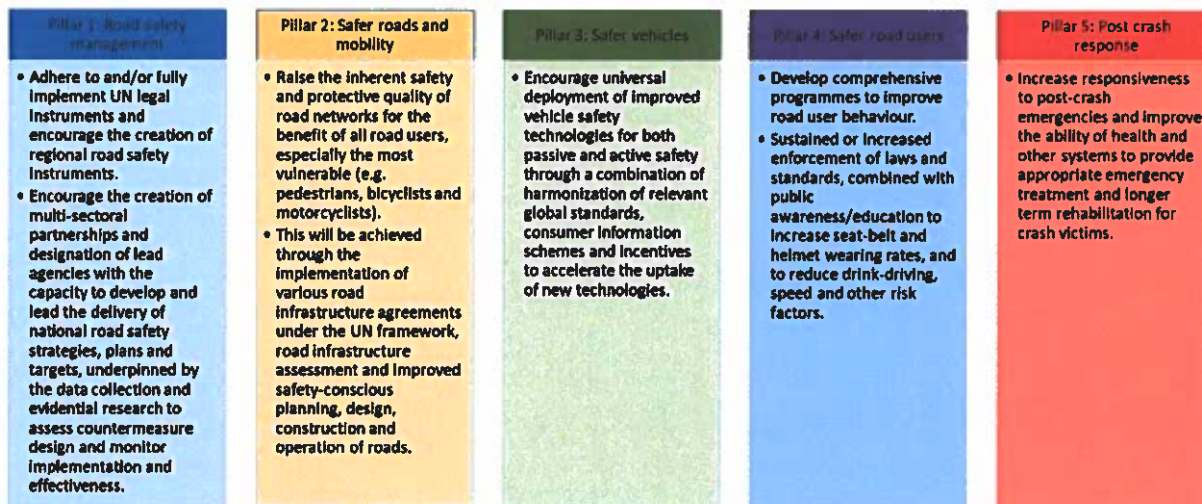


Figure 2: UN Global Plan Pillars

Whist RTIA may contribute directly towards pillar 4, it may also contribute broadly towards pillar 1, to contribute towards road safety management ecosystem.

9 Institutional Policies and Strategies over the five-year planning period

9.1 Social Impact & Sustainability

RTIA seeks to contribute towards broader socio-economic impact by building safer road communities, enhancing socio-economic infrastructure and partner for economy and employment

The following key objectives will thus guide RTIA to achieve the above, within the next five years:

- Building partnership networks with Road Safety NGO's
- Initiation of change and rehabilitation programs to improve road user behaviours within communities across the country
- Establish and maintain sustainable operations that result in Informed & compliant road users and significant decrease in road deaths
- Contribution to broader road safety management ecosystem
- Creation of job opportunities through expansion of RTIA footprint and the broader enterprise development strategies.

9.2 Community & Customer Focus

Better understanding of targeted consumers and users of RTIA services will be critical within the next five years, as RTIA seeks to be more accessible to make the meaningful impact to the broader communities.

The following key will thus guide RTIA to achieve the above, within the next five years:



- Rigorous segmented customer services management capabilities underpinned by reliable consumer analytics and directed marketing & communication strategies.
- Consistent access to reliable road user analytics and integrated infringement information.
- Cost-effective, integrated and revamped service channels—walk-ins, Web Portal, APP and inbound & outbound call centre services.
- Expansion of RTIA accessibility through service outlets and enterprise development programme.
- Targeted and research-driven upscaling Public Education efforts on AARTO and rehabilitation programmes.

9.3 Resources & Information Management

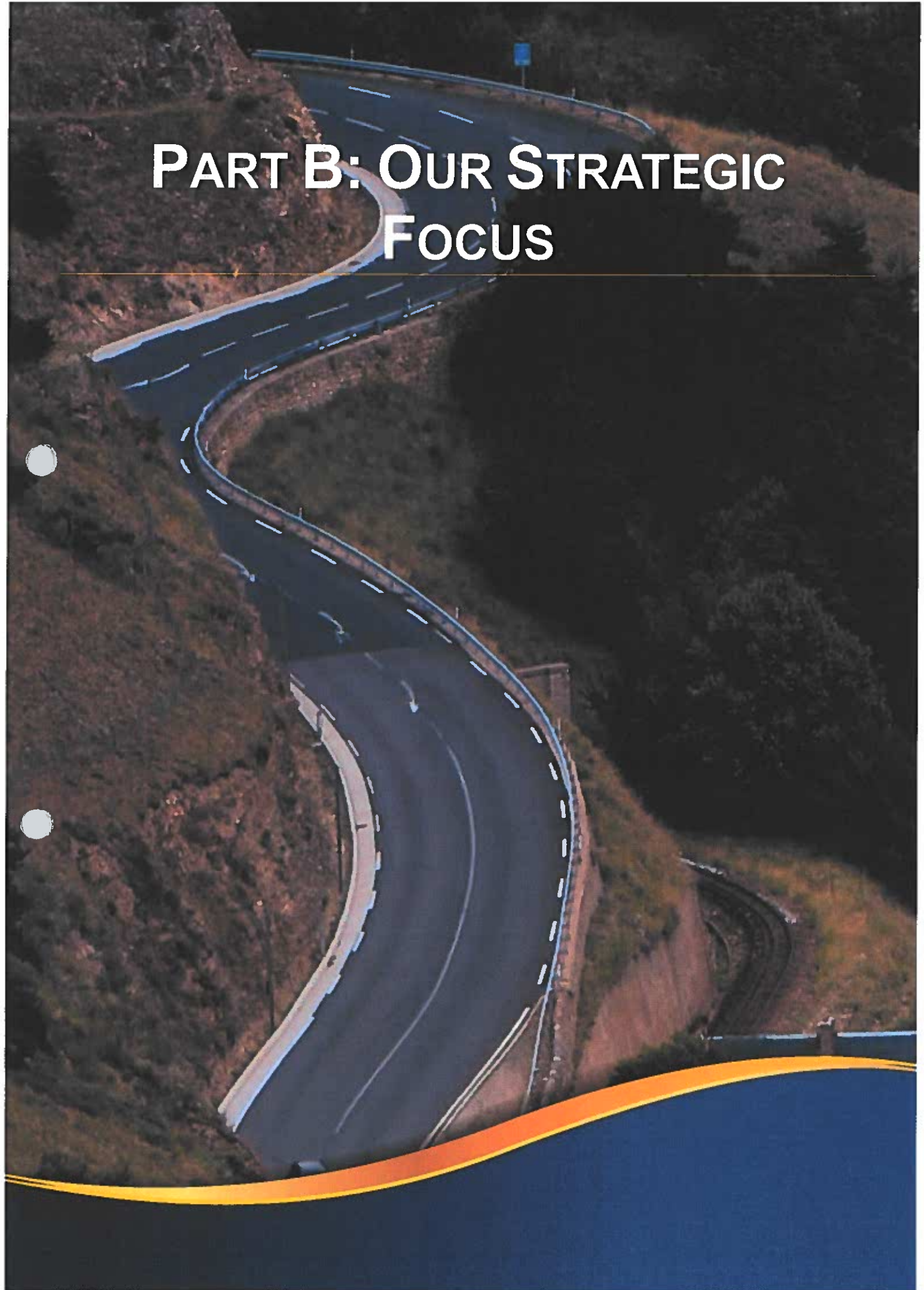
The effective operation of RTIA requires the establishment of the appropriate information management system and database connected with the national contraventions register, to create, process and maintain records with regard to any work completed by RTIA. The implementation of integrated systems to enable effective information management, integrated & efficient RTIA resource management and implementation of reliable points demerit system.

The following will thus guide RTIA to achieve the above, within the next five years:

- Implement cost-effective information management capabilities to support the entire RTIA value chain through ongoing creation, codification, transfer and application of knowledge that contributes to the improved efficiency and effectiveness of the AARTO ecosystem.
- Investment in technology systems to enable efficient & automated business processes and to take advantage of emerging digital technologies.
- Implement the reliable demerit system as the nerve centre for AARTO management ecosystem.
- Establishment and operation of a robust research & analytics environment.
- Implement Integrated and Efficient Administration & Operations capabilities underpinned by reliable, business needs driven and cost-effective ICT architecture.



PART B: OUR STRATEGIC FOCUS





10 RTIA Strategic Direction

10.1 Vision

"A safe road user community".

10.2 Mission

The Mission of the RTIA is:

"To encourage compliance with road traffic laws in South Africa through procedurally fair, reasonable and lawful administrative processes."

10.3 Motto

"Justice in Adjudication".

10.4 Values

The RTIA's Values reflect traits or qualities that represent the requirements of the Constitution of South Africa, in particular Chapter 10 – Public Administration and Batho Pele principles. This emphasises certain basic values and principles governing Public Administration and requires that Public Administration be governed by the democratic values and principles enshrined in the Constitution. The RTIA's values are grounded in strong ethical considerations. RTIA staff members are required to maintain the highest standards of proper conduct and integrity at all times and to ensure that there is no doubt as to what actions are required by them. To this end, the RTIA has developed a set of core values.

All RTIA employees are consistently encouraged to live the RTIA's values in all that they do. The RTIA will continue to encourage staff to do so through regular communication until such time as the values form an integral part of the work life of all staff at the RTIA. These values must remain relevant and become firmly institutionalised.

The RTIA's value statements (in direct alignment with the Batho Pele principles) are reflected in the Figure below:







Value	Description
1 	Accessibility Being available to serve and be reached by all our stakeholders across the country in line with our purpose and mandate
2 	Accountability Being responsible and answerable for our actions and decisions
3 	Transparency Being open with stakeholders and communicating the basis upon which decisions are made and actions taken
4 	Integrity Being truthful, uncompromising, and acting impartially, without fear or favour in our relationships with all stakeholders
5 	Innovation To embrace a disruptive and innovative approach in all that we do in order to deliver exceptional quality of service and deliver on our mandate within a rapidly changing external and internal environment

Figure 3: RTIA Values

10.5 Strategic Principles

The following principles will guide the focus areas for RTIA within the next five years:

- Socio-economic impact** – RTIA has a critical role to play towards enhancing economic infrastructure, economy & employment and building safer road user community.
- Sustainable business model** – RTIA strives for sustainable growth and autonomy.
- Optimum operating model** – RTIA adopts an operating model that enables operational efficiency, cost-effective accessibility and consistent service experience.
- Learning Organisation** – RTIA strives for continuous improvement and build the necessary capabilities for service excellence and towards a world-class organisation.
- Digital Native** – RTIA will at all times consider the opportunities for technology innovation and adoption of appropriate digital technologies to enhance its competitiveness and efficiency.

10.6 RTIA Strategy Statement

RTIA will strive for service excellence in the next five years, driven by the need for self-sustainability, efficient operations and innovation.

The following strategic thrusts underpins RTIA strategy over the five years:

- RTIA Key Strategic Role & Re-positioning - build strategic competencies to position RTIA as integrator and nerve centre for AARTO management.



- Revenue Generation – implementation of hybrid and diversified funding model underpinned by aggressive growth & reinvestment strategy aligned to RTIA strategic choices over long term
- Road User Safety & Rehabilitation - development and implementation of comprehensive stakeholder & partnership model to ensure concerted efforts towards impact on road user behaviour and proactive road user safety
- AARTO National Rollout Readiness - initiation of AARTO rollout programme run from the office of CEO that will incorporate a comprehensive consultation processes, including due-diligence and understanding specific ICT systems requirements and needs to enable sustainable AARTO
- Innovation & Technology Enablement - development and implementation of business needs driven ICT & operating technology strategy and architecture, supported by multi-year ICT infrastructure and systems investment plan.
- Building Intelligent & Smart Operations - development and implementation of optimum operating model underpinned by the principles of establishing a positive organisation culture and effective change management

11 Organisational Structure

11.1 Governance structure

The RTIA is a Schedule 3A public entity listed in the Public Finance Management Act, 1999 (Act No. 1 of 1999). The Agency reports to the Executive Authority i.e., Minister of Transport. The Minister appoints a Board which serves to provide an oversight role. The board comprises of:

- five persons appointed by the Minister;
- a Director of Public Prosecutions, nominated by the National Director of Public Prosecutions; and
- a Registrar.

The Accounting Authority's responsibilities are to provide strategic leadership and direction to the Registrar and advise the Minister in matters related to legislative amendments to the Act and other applicable road traffic matters. The Registrar is the accounting officer and exercises the powers given to him in pursuit of his fiduciary duties to ensure the efficient operations of the Agency and the performance of the AARTO functions as provided for in the Act. The RTIA's activities are funded by the provision of an extremely limited budget from funds voted annually to the DoT as well as 50% of any infringement penalty paid after 32 days after the receipt of such penalty and fees payable to the Agency.

Good governance is crucial to business sustainability and growth of the organisation. The Accounting Authority has committees that advise on matters pertaining to governance. These are the Audit and Risk Committee, the Human Resource and Remuneration Committee, and the Technical Committee. These committees' function by way of formal Charters.

11.2 Operational Structure

The current operational structure of the RTIA was approved by the Accounting Authority. The structure has been adjusted over time to ensure that it remains relevant and appropriate to organisational requirements. It ensures that the RTIA continues to have the right people, with the right skills and competencies available at the right time, at the appropriate level to deliver on its mandate.



The RTIA's organisation structure ensures the appropriate blend of leadership continuity and workforce flexibility that ultimately contributes to the RTIA being an agile, responsive and efficient organisation. The current approved organisational structure is presented below.

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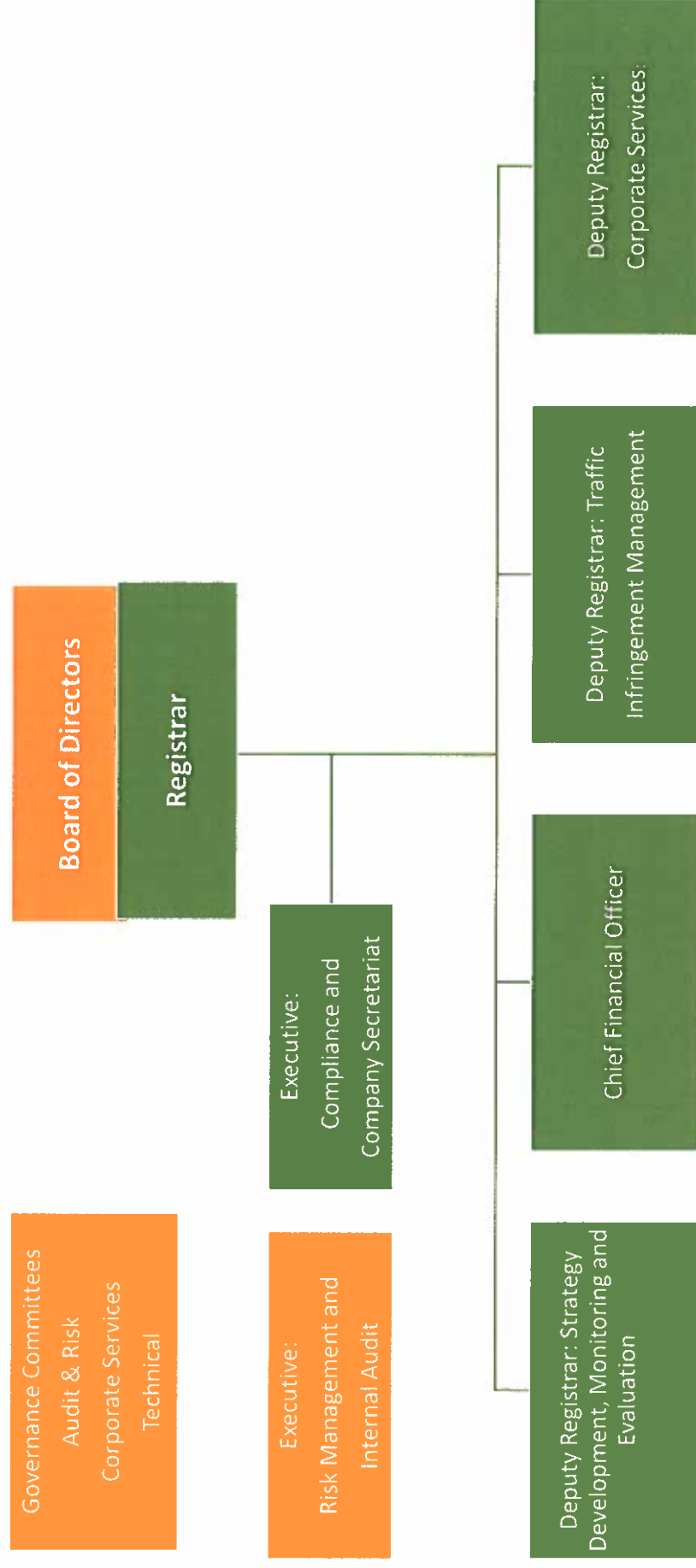


Figure 4: Current approved organisation structure





12 Situational Analysis

In terms of the AARTO Act, the Agency is expected to play a critical role in forging a closer, more effective and efficient link between the enforcement and adjudication processes. It is intended to play the role of an independent adjudicator overseeing and enforcing the provisions of the AARTO Act. The RTIA is also expected to increase compliance with road traffic laws as well as inculcate a new habit of voluntary compliance with traffic laws through educational programmes and mechanisms. Overall, the Agency is mandated to ensure the implementation of objective, transparent and fair administrative processes.

The AARTO process starts with the detection by a traffic officer of an infringement committed by an infringer. Upon allegedly committing an infringement, an authorised officer or a person duly authorised by an issuing authority, must serve or cause to be served on the allegedly identified infringer an infringement notice.

The figure below indicates the total number of notices captured between 2014/15 and 2018/19 financial years.

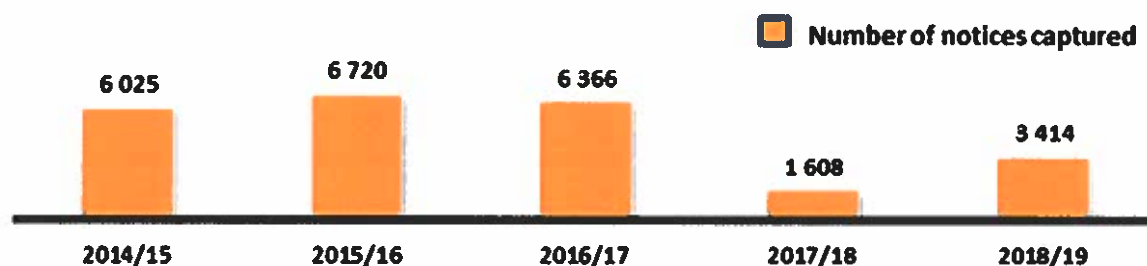


Figure 5: Total number of notices captured between 2014/15 and 2018/19

Figure above shows that there has been a significant decline in the total number of notices captured and uploaded from 2017/18. However, there has been more than a double increase in notices captured and uploaded in the 2018/19 financial year. Despite the increase in the number of notices issued and captured in 2018/19, a decline in revenue collection was experienced, as presented in the figure 2, below:



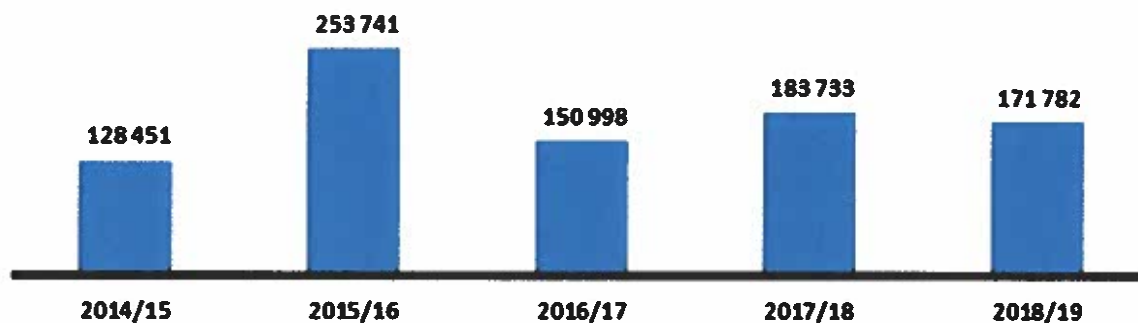


Figure 6: Total RTIA revenue between 2014/15 and 2018/19

An Infringement Notice is served either in person or through registered mail to the alleged infringer.

The AARTO process allows the alleged infringer to select any one of the following five options to be exercised within a period of 32 days after having received such notice:

1. To pay the penalty, reduced by the discount amount contemplated, if paid within the set timeframe of 32 days; or
2. Elect to pay in instalments; or
3. Submit a representation; or
4. Nominate the driver or person in control of the vehicle at the time the alleged infringement was committed, if it was not the owner.

Table 5: The elective rates by infringers

	2016/17	2017/18	Change	% Change
Representations	96 310	133 790	37 480	38.92
Nominations	65 868	26 376	-39 492	-59.96
Court	386 261	286 390	-99 871	-25.86
Instalments	622	474	-148	-23.79
Revocation of Enforcement Order	12 392	41 451	29 059	234.50
Total	561 453	488 481	-72 972	-13.00

The elective rates by infringers over the past two financial years can be summarised as follows:

- -23.79% elected to pay in instalments;
- 38.92% submitted representations;
- 25.86% elected to be tried in court; and
- -59.96% nominated drivers.



The statistics above indicate that there is a low compliance rate with the provisions of the AARTO Act. Thus, the Agency continues with the overwhelming task of changing road user attitudes and perceptions.

In order to assist with this process, the following measures amongst others, will be pursued:

- Introduction of the electronic serving of AARTO documents;
- Improving the process for the delivery and collection of Enforcement Orders;
- The blocking of certain eNaTIS transactions for unresponsive infringers which will prevent them from registering new vehicles or renewing their driving and vehicle licences; and

12.1 Organisational Environment (Internal-SWOT)

Strength	Weaknesses
<ul style="list-style-type: none"> • AARTO Experts • Strong leadership • Multi - skilled and competent employees • Operational stability • Internal processes • Strong Legislation • Good governance • Approved AARTO Amendment • Functional NCR • Strategic partners identified 	<ul style="list-style-type: none"> • Lack of accessibility (Office location) • Insufficient capacity • Low use of technology interventions • Inadequate funding • Lack of clear operating model • Lack of automated integrated processes (lack of technology) • Lack of access to real online data (NCR) • Inadequate office accommodation • Inadequate customer touch points • Interdependencies (External) • Insufficient focused & aggressive marketing strategies • Lack of mechanism to penalise foreign drivers
Opportunities	Threats



<ul style="list-style-type: none"> • Effective stakeholder management • Embedding our national footprint • Improve revenue streams and sustainability • Consultation on AARTO within the Africa (SADC and COMESSA) • Attract talent through the in-house bursary scheme • Value proposition of the targeted customer segment • Implementation of new best practices within road safety space. Influential to cross border road safety practices, e.g. point demerit system • Using of other means of serving infringers as stipulated by the AARTO act, e.g. Electronic serving and personal services. • Educate, rehabilitate, penalise and change behaviour of Road Users for socio-economic impact. • Expansion of offices and service channels to increase accessibility • Shaping South African law enforcement goals and priorities • Rehabilitation programme to drive road user behaviour • Decentralised operations to improve services delivery • Increasing the penalty amount. 	<ul style="list-style-type: none"> • Lack of Understanding of Point Demerit System by the public. • Distorted public image relating to AARTO • Non-payment of revenue collected by collecting agents. • Corruption with road traffic law enforcement • Financial Resource Uncertainty for AARTO rollout • Mandate encroachment • Financial sustainability • Change in political administration • Lack of support from civil society groups • Lack of support from stakeholders and general public • Dysfunctional municipalities • Limited capacity for full AARTO national rollout
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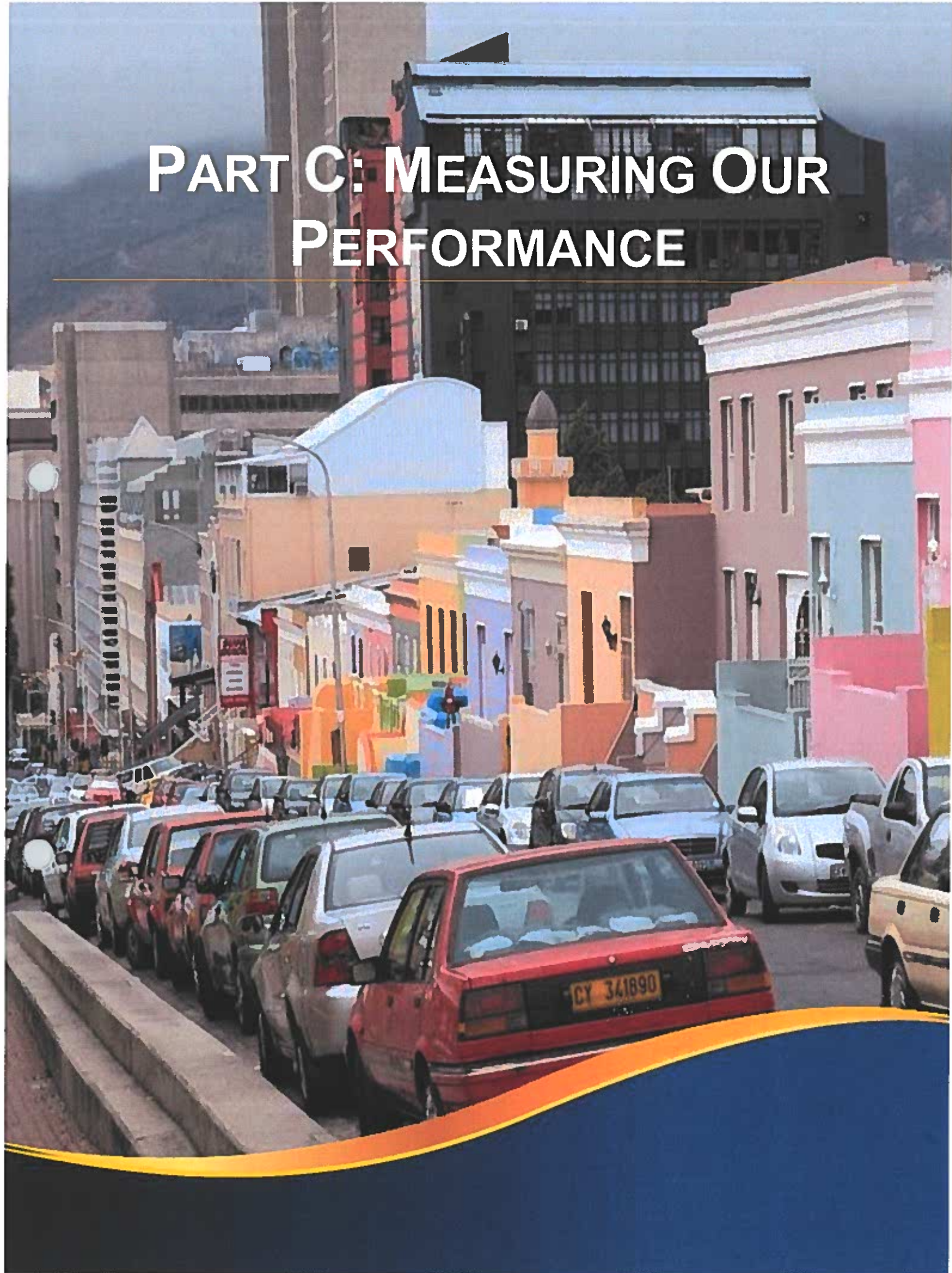


12.2 External Environment (External: PESTEL)

Political	Economical	Social
<ul style="list-style-type: none"> Political stability Renewed focus on SOEs as socio-economic value creator Inter-Departmental integration 	<ul style="list-style-type: none"> Declining economy meaning companies and consumers have less money to pay fines High unemployment rate resulting in inability for collect revenues 	<ul style="list-style-type: none"> Community dissatisfaction with government and Social rebellion Declining quality of drivers due to fraud and corruption Influx of undocumented cars, and foreign licenced drivers not linked to NaTIS
Technological	Environmental	Legal
<ul style="list-style-type: none"> Gaps in legislation that is not addressing advances in transport Government still lagging behind in automating and integrating processes. High Data costa and poor internet penetration in RSA Disruption technologies and 4IR & AI 	<ul style="list-style-type: none"> Climate change Road conditions Overreliance on printing Increasing vehicle population emissions Increase in number of vehicles, distorting law enforcement officers to motorist ratio. Outdated and poorly designed and maintained road network. 	<ul style="list-style-type: none"> Cumbersome laws Highly litigious environment Multiple contracts dealing with one issue at different provinces. Slow judicial process. Litigation from advocacy groups resting in decreased cash flows and brand reputational damage. Highly regulated labour environment that does not allow for 24-hour law enforcement



PART C: MEASURING OUR PERFORMANCE





13 Institutional Performance Information

13.1 Measuring the Impact

Impact Statement		Key Socio-Economic Measures
Building safer road communities	Enhance road-user behaviour, sustained enforcement of road traffic laws & standards through procedurally fair, reasonable and lawful administrative processes	<ul style="list-style-type: none"> Reduction of infringements/contravention through world class point demerit system Reduction of costs related to crashes, fatalities and injuries Transport safety and security index AARTO Public Awareness and Legislative Education
Enhance Socio-Economic Infrastructure	Build relevant capacity as road traffic infringement regulator, adjudicator & integrator to stimulate economic growth and support social-economic development goals	<ul style="list-style-type: none"> Reduced corruption in the licensing environment (DLTCs, VTCs, DGOs) Creation of AARTO Service Outlets Increase AARTO Service Footprints Creation of Enterprises (SMME Local Economic Development)
Partner for Economy and employment	Generate virtuous cycle of rising confidence, rising investment, higher employment, rising productivity and incomes through effective partnerships across society	<ul style="list-style-type: none"> Effective & efficient law enforcement Partnership with Youth Groups Appointment of youth, women and people with disability Internship programmes

13.2 Measuring Outcomes

Outcome	Outcome Statement	Outcome Indicator	Baseline	Five-year target
Improve RTIA Accessibility & Voluntary Compliance	Improve RTIA accessibility & administrative efficiencies to enable voluntary compliance to infringement notices	RTIA Footprint & Reach — Service Outlets, Regional Offices and Digital Channels	<ul style="list-style-type: none"> Service Outlets Mobile Units Digital Channels Walk-Ins/Regional Offices 	Full rollout of additional service channels within and sustainable service outlets communities to enable enhance local economic development, entrepreneurship and sustainable job creation
Cultivate Good Road User Behavior	Cultivate an informed and complaint road user to reduce road law infringement, crashes and fatalities	Transport safety and security index/ Impact of AARTO Public Awareness and Legislative Education	As per Annual Report	Full rollout of demerit system management capabilities & positioning RTIA as integrator of road infringement information & analytics
Efficient & Fair Adjudication Process	Improve RTIA adjudication efficiencies to ensure compliance with enforcement orders	Adjudication turnaround time & success rate—reduction in court hearings	As per Annual Report	



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Outcome	Outcome Statement	Outcome Indicator	Baseline	Five-year target
Integrated point demerit ecosystem	Enable an integrated & efficient point demerit ecosystem to improve the quality of road infringement information, analytics & knowledge	Reduction of infringements/contravention through world class demerit point system	Piloted and limited demerit system	
Reliable Road User Research & Analytics	Establish the prescribed information management system connected with the national contraventions register and other relevant road safety systems, to create, process and maintain records with regard to RTIA mandate	Provision of AARTO research & analytics	RTIA predominately manual and cumbersome processes	Build, implement and maintain digital platform to enable intelligent & smart RTIA operations.

13.3 Explanation of Planned Performance over the Five Year Planning Period

- RTIA Re-Position for sustainable growth and autonomy
- Focus on longer-term impact and presence in line with NDP vision 2030
- Reconfigure capabilities & capacities to respond to opportunities related to demerit system management & authority
- Build strategic competencies as Integrator & Researcher for road safety analytics—nerve centre for road safety management
- Focus and Leverage on existing revenue streams in a short to re-invest for long term growth
- Consider strategic use of technology to expand national footprint and accessibility—leading in 4IR

14 Programme Information

14.1 Programme 1: AARTO Administration & Education

14.1.1 Purpose

This component is primarily responsible for all administration, education and awareness activities in line with the provisions of the AARTO Act. It is directly responsible for delivery of outputs that contribute to attaining the strategic outcome-oriented goals. It supports the following strategic objectives:



- To stimulate and encourage positive change in road user behaviour.

14.1.2 Sub-programmes

- Provincial operations: Facilitate and oversee all provincial operations related to the RTIA mandate.
- Communications: Facilitates greater awareness of the RTIA's mandate and ensures that there are regular, structured opportunities for engagement with key stakeholders. This ensures that the RTIA operates in an environment where all internal and external stakeholders are well informed and in which the activities of stakeholders may be effectively aligned to those of the RTIA.

14.1.3 Programme Performance Information



Table 6: Programme 1 - AARTO Administration & Education Performance Information

Programme 1: AARTO Administration & Education								
Strategic Goal 1: Enable a culture of AARTO compliance through efficient AARTO administration and targeted awareness campaigns								
			Five Year Annual Targets					
Outcome	Outputs	Output Indicators	Baseline/Estimated Performance	2020/21	2021/22	2022/23	2023/24	2024/25
Improve RTIA Accessibility & Voluntary Compliance	Implement mobile Application supported by a digital campaign	Implementation of RTIA Mobile App	Current RTIA's Twitter followers.	Develop the RTIA Mobile APP	Implementation of the RTIA Mobile APP.	% Increase of App users as per the Annual Target.	% Increase of App users as per the Annual Target.	% Increase of App users as per the Annual Target.
Cultivate Good Road User Behavior	Encourage compliance with the national, provincial laws and municipal by-laws relating to road traffic and to promote road traffic safety	The number of workshops held to disseminate AARTO related information to key stakeholders to improve road user behaviour	39 AARTO Support workshops conducted nationally as per the targeted stakeholders	N/A	The number of AARTO support workshops conducted nationally as per the targeted stakeholders based on Annual Target	The number of AARTO support workshops conducted nationally as per the targeted stakeholders based on Annual Target	The number of AARTO support workshops conducted nationally as per the targeted stakeholders based on Annual Target.	The number of AARTO support workshops conducted nationally as per the targeted stakeholders based on Annual Target
Integrated points demerit ecosystem	National rollout and implementation of points demerit system	Implementation of fully functional national point demerit system	Develop and approve targeted rollout plan for national point demerit system.	N/A	Functional national point demerit system	Monitoring and maintaining the national point demerit system	Monitoring and maintaining the national point demerit system	Monitoring and maintaining the national point demerit system



14.2 Programme 2: Adjudication & AARTO Support

14.2.1 Purpose

This component is primarily responsible for all adjudication and AARTO stakeholder management activities in line with the provisions of the AARTO Act. It is directly responsible for delivery of outputs that contribute to attaining the strategic outcome-oriented goals.

It supports the following strategic objectives:

- To discourage the contravention of road traffic laws through increased compliance and adjudication.
- To facilitate the readiness and support of key stakeholders in the national implementation of AARTO.

14.2.2 Sub-programmes

- Infringement adjudication and demerit management: Facilitate adjudication of road traffic infringements and readiness of implementation of a point demerit system.
- Information management: Facilitate research and information management within RTIA.

14.2.3 Programme Performance Information



Table 7: Programme 2 – Adjudication & AARTO Support Performance Information

Programme 2: Adjudication & AARTO Support									
Strategic Goal 2: Voluntary compliance with road traffic laws									
Outcome	Outputs	Output Indicators	Baseline/Estimated Performance	Five Year Annual Targets					
				2019/20	2020/21	2021/22	2022/23	2023/24	2024/25
Improve RTIA Accessibility & Voluntary Compliance	Improve RTIA efficiencies to ensure compliance with AARTO processes	Implementation of Electronic service to issue courtesy letters and enforcement orders	New.		Implementation plan for the electronic service of CL and EO	Implementation of the electronic service of CLs and EOs	Monitoring and evaluation of the electronic service system	Monitoring and evaluation the electronic service system	Monitoring and evaluation the electronic service system
Cultivate Good Road User Behavior	Stimulate and encourage positive change in road user behaviour	% of received representations adjudicated within 21 days	100% of representations adjudicated within 21 days of receipt	100% of representations adjudicated within 21 days of receipt	100% of representations adjudicated within 21 days of receipt	100% of representations adjudicated within 21 days of receipt	100% of representations adjudicated within 21 days of receipt	100% of representations adjudicated within 21 days of receipt	100% of representations adjudicated within 21 days of receipt
Integrated point demerit ecosystem	To facilitate the readiness and support of transactional partners in the implementation of AARTO National Rollout	% of transactional partners and IA's readiness for AARTO Rollout based on the RTIA Readiness checklist	80% of transactional partners and IA's readiness for AARTO Rollout based on the RTIA Readiness checklist	N/A	100% of transactional partners and IA's readiness for AARTO Rollout based on the RTIA Readiness checklist	100% of transactional partners and IA's readiness for AARTO Rollout based on the RTIA Readiness checklist	Quality Assurance for AARTO operations	Quality Assurance for AARTO operations	Quality Assurance for AARTO operations



Programme 3: AARTO Information & Analytics

14.2.4 Purpose

This component is primarily responsible for all information management activities in line with the provisions of the AARTO Act. It is directly responsible for delivery of outputs that contribute to attaining the strategic outcome-oriented goals. It supports the following strategic objectives:

14.2.5 Sub-programmes

- Information management: Facilitate research and information management within RTIA.
- AARTO Analytics – implementation of appropriate digital technologies and data mining tools.

14.2.6 Programme Performance Information



Table 8: Programme 3 – AARTO Information & Analytics Performance Information

Programme 3: AARTO Information & Analytics						
Strategic Goal 3: Build, implement and maintain digital platform to enable intelligent & smart RTIA operations						
Outcome	Outputs	Output Indicators	Baseline/Estimated Performance	Five Year Annual Targets		
				2020/21	2021/22	2022/23
Improve RTIA Accessibility & Voluntary Compliance	Aarto Web Portal to be developed	Implementation of Integrated service channels	Existing Aarto website	Revamp AARTO Web Portal	Implement the revamped AARTO Web Portal	Maintenance and support of the Aarto Web portal
Efficient & Fair Adjudication Process	Functional interface between RTIA information management systems	Implementation of Integrated RTIA information management systems	New	N/A	Develop specifications for interface between information management systems and National Road Traffic Offences Register (NRTOR)	Phase 1 Implementation of integrated RTIA information management systems
Reliable Road User Research & Analytics	Establishment of research and data analytics unit	Implementation of research & data analytics capabilities	New	N/A	Establish research & data analytics capabilities	Implement data analytics platform
						Functional research & data analytics capabilities
						Implement research & data analytics services





14.3 Programme 4: Governance & Sustainability

- a) **Purpose:** Provide strategic leadership to the RTIA to ensure the successful implementation of its legislative mandate through flexible, efficient and sustainable resource solutions and supporting services.
- b) **Sub-programmes**
- The Office of the Registrar – provides strategic direction and oversight to the operations of the RTIA.
 - Financial Management – provides support to the RTIA with respect to forecasting, budgeting, financial resource allocation, oversight and management.
 - Human Resources– provides support to the RTIA to ensure that the right people are available at the right time with the right competencies to ensure that the RTIA is able to execute on its mandate while ensuring the safety and wellness of its employees.
 - ICT – provides support to the RTIA to ensure that the appropriate technology architecture, electronic communications channels and other associated information resources and services are available to ensure that the RTIA is able to operate efficiently and in a secure manner.
 - Legal Services – provide legal advice and support to RTIA.
 - Facilities management – provides oversight of all facilities of RTIA.

14.3.1 Programme Performance Information



Table 9: Programme 4 – Governance & Sustainability Performance Information

Programme 4: Governance & Sustainability									
Strategic Goal 4: Ensure effective and efficient enterprise, risk and compliance management									
Outcome	Outputs	Output Indicators	Baseline/Estimated Performance	Five Year Annual Targets					
			2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	
Improve RTIA Accessibility & Voluntary Compliance	Expand RTIA Footprint & Service Channels	Number of added payment & service channels increase	10 payment platforms established	N/A	Number of additional payment & service channels	Maintain the number of added payment & service channels	Maintain the number of added payment & service channels	Maintain the number of added payment & service channels	Maintain the number of added payment & service channels
Efficient & Fair Adjudication Process	Implement automated and integrated operating environment	Implementation of AARTO administration electronic services	Define, streamline and prioritise AARTO administration processes	N/A	Implementation of AARTO administration workflows for all back-office processes	Implement self-service for all infringement notices elective options	Implement integration of AARTO administration and inbound/outbound call Centre services	Monitor implementation of integrated back-office systems and AARTO administration processes	
Organisational Re-alignment	Re-aligned organisation structure	Implementation of the re-aligned organisation structure	New	Implement organisational structure	Implement organisational structure	Implement organisational structure	Implement organisational structure	Monitor implementation of the organisational structure	



14.4 Programme 5: AARTO Rollout Programme

- c) **Purpose:** to position the RTIA to address seamless and coordinated implementation of AARTO by all key stakeholders countrywide.
- d) **Sub-programmes**
 - The Office of the Registrar – provides strategic direction and oversight to the operations of the RTIA.
 - AARTO PMO – comprehensive programme to oversee the full national rollout of AARTO.

14.4.1 Programme Performance Information



Table 10: Programme 5 – AARTO Rollout Programme Performance Information

Programme 5: AARTO Rollout Programme							
Strategic Goal 5: Ensuring seamless and streamlined rollout & management of AARTO capabilities countrywide by all stakeholders for the consistent application of the AARTO Act							
Outcome	Outputs	Output Indicators	Baseline/Estimated Performance	Five Year Annual Targets			
				2020/21	2021/22	2022/23	2023/24
Improve RTIA Accessibility & Voluntary Compliance	Placement of new AARTO service outlets	Implementation of fully operational new AARTO service outlets	Approved Placement plan and Signed MoUs for new AARTO Service Outlets	N/A	Number of New AARTO service outlets implemented	Number of New AARTO service outlets implemented	Number of New AARTO service outlets implemented
	Increased economic participation by historically disadvantaged individuals	Number of youth, women and people with disabilities living with disabilities appointed for AARTO Service Outlets	New	N/A	Number of youth, women and people with disability at AARTO Service Outlets	Number of youth, women and people with disability at AARTO Service Outlets	Number of youth, women and people with disability at AARTO Service Outlets
Cultivate Good Road User Behavior	Influence change driver behaviour	Implementation of Rehabilitation Model	Feasibility study and Implementation plan for Rehabilitation Model approved	N/A	Approved partnerships model	Phase 1 implementation of the Rehabilitation Model as per the implementation plan	Monitoring and evaluation of the Rehabilitation Model
					Number of AARTO awareness education campaigns	Number of AARTO awareness education campaigns	Number of AARTO awareness education campaigns



Programme 5: AARTO Rollout Programme									
Strategic Goal 5: Ensuring seamless and streamlined rollout & management of AARTO capabilities countrywide by all stakeholders for the consistent application of the AARTO Act									
Outcome	Outputs	Output Indicators	Five Year Annual Targets					2024/25	
			Baseline/Estimated Performance	2019/20	2020/21	2021/22	2022/23	2023/24	
		Influence change in road user behaviour							
		Number of AARTO Digital public awareness and education campaigns implemented (on line social media, RTIA website and aarto.gov.za)	New		360 AARTO Digital public awareness and education campaigns (on line social media, RTIA website and aarto.gov.za)	Number of AARTO Digital public awareness and education campaigns (on line social media, RTIA website and aarto.gov.za)	Number of AARTO Digital public awareness and education campaigns (on line social media, RTIA website and aarto.gov.za)	Number of AARTO Digital public awareness and education campaigns (on line social media, RTIA website and aarto.gov.za)	Number of AARTO Digital public awareness and education campaigns (on line social media, RTIA website and aarto.gov.za)



15.1 Key Finance Initiatives

The ongoing monitoring of the budget and oversight over spending by the Finance Unit has been critical in ensuring that the RTIA delivers on its mandate. Adherence to sound financial disciplines and savings by virtue of increased efficiency will be in ensuring that all available funding will be optimally utilised.

In reviewing our progress over the previous financial year, cognisance has been taken of the following experiences over the previous financial year:

- Drastic reduction in the collection of infringement fees
- Continued sound financial governance and performance with the proceeded by the attainment of unqualified audit opinions. Sound financial management controls embedded in the processes.
- Investment in an integrated financial management solution to deliver on our processes.
- Implementation of recommendations made by the Audit and Risk Committee.
- The continuous updating and implementation of the Finance policies and Standard Operating Procedures.

For the upcoming period the focus point will shift towards the following identified business function goals:

- Enhance the sustainability of the continued operations by:
 - Exploring alternative revenue streams to boost the current liquidity position.
 - Identifying additional funding partners for the National Rollout model.
- Implement the Supply Chain Management (SCM) Strategy to:
 - Enhance technology to streamline and harmonise transactions and processes.
 - Ensure an efficient and intelligent SCM system that is aligned to the National Treasury best practices.
- Support business across all its key measurement needs, providing relevant understandable information by:
 - Improved budget reporting to support business analysis.
 - Delivery of quality relevant information to support decision making.

15.2 Multi-year finance projections

Budget allocations have been made by National Treasury for the specific five and MTEF years outlined in table below together with the expected grant allocation. The budget estimate changes may be applied for accordingly during the three years METF period and on each ensuing year.

The following table sets out the actual and budget forecasts of the for the next MTEF period.



Table 11: Statement of Infringement fees and Spending

Figures in R'000	Actual 2016/17	Actual 2017/18	Actual 2018/19	Estimate 2019/20	Five Years Budget Estimates				
					2020/21	2021/22	2022/23	2023/24	2024/25
Government grant	10,092	17,696.00	11,722.00	7,770.00	8,197.00	8,648.00	9,080.00	9,624.80	10,202.29
Infringement fees	140,906	166,036.00	160,060.00	247,596.00	228,000.00	294,185.00	312,031.00	330,752.86	350,598.03
Adjusted Budget/Relief Funding					80,021.00				
Interest received	9,930	8,656.00	6,600.00	1,742.00	-	-			
Total income	160,928	192,388.00	178,382.00	257,108.00	316,218.00	302,833.00	321,111.00	340,377.66	360,800.32
Other Income	151.00	10.00	374.00						
Impairment loss on assets	-	-	-	-	-	-	-		
Depreciation and amortization on assets	4,170	3,372	3,309	3,500	3,675.00	3,932.00	4,168.00	4,418.08	4,683.16
Employment costs	76,703.00	82,216.00	81,707.00	115,167.00	147,355.00	137,141.00	145,491.00	154,220.46	163,473.69
Other operating expenses	62,790.00	125,157.00	103,664.00	126,441.00	159,188.00	161,760.00	171,452.00	181,739.12	192,643.47
Other operating expenses	62,790.00	125,157.00	103,664.00	126,441.00	155,322.00	161,760.00	171,452.00	181,739.12	192,643.47
Repairs and maintenance	353.00	296.00	79.00	-	-	-			
Rental Mobile Buses	958.00	11,665.00	10,401.00	12,000.00	6000.00				
Total spending	144,974.00	222,706.00	199,160.00	257,108.00	316,218.00	302,833.00	321,111.00	340,377.66	360,800.32



Figures in R'000	Actual 2016/17	Actual 2017/18	Actual 2018/19	Estimate 2019/20	Five Years Budget Estimates				
					2020/21	2021/22	2022/23	2023/24	2024/25
(Deficit) / Surplus	16,105.00	(30,308.00)	(20,404.00)	-	-	-	-	-	-
Capital expenditure	683.00	1,785.00	1,408.00	8,900.00	20,900.00	16,735.00	24,600.00	26,076.00	24,600.00

Table 12: Budget allocation per programme

Figures in R'000 Programme		Budget 2020/21	Budget 2021/22	Budget 2022/23	Budget 2023/24	Budget 2024/25
Programme 1: AARTO Administration & Education		50,241.00	53,254.00	56,449.00	60,400.00	64,628.00
Programme 2: Adjudication & AARTO Support		127,861.00	131,293.00	139,170.00	148,911.90	159,335.73
Programme 3: AARTO Information & Analytics		6,500.00	5,500.00	6,500.00	6,200.00	6,400.00
Programme 4: Governance & Sustainability		87,197.00	89,930.00	94,655.00	98,942.00	102,698.63
Programme 5: AARTO Rollout Programme		41,419.00	22,856.00	24,227.00	25,923.00	27,737.00
Total		316,218.00	302,833.00	321,001.00	340,377.66	360,800.32



15.3 Budget Projections over the Five Years and MTEF period

The MTEF budget projections are determined in accordance with Section 27(3) of the Public Finance Management Act (PFMA), Act No. 1 of 1999. The main source of income is infringement fees collected; this is further supplemented by the government grant allocation at a minimal impact. Infringement fees collection is the presumed source of income for the Agency, currently there are no other alternative revenue streams employed.

COVID-19 has brought the entire country and the world into a total shutdown. President of the Republic imposed total lockdown during the month of March 2020. The Agency management took a decision to close the business operations office and such decision has affected the sustainability and ability to fulfil the RTIA legislative mandate and responsibilities. The following are specific in particular areas of the mandate affected:

- Inability to collect infringement fees and penalties owing to the stopping clock on the Natis System;
- High costs of postage costs that will be coming from the motorists challenging legality of the infringements that were not serve on time;
- Failure by the Agency to service contractual arrangements;
- Failure to pay staff and other short term debts such as office rental
- Failure to conduct advertising and awareness due to lack of funding and;
- Delays in conducting AARTO rollout preparations programmes at the Provinces and Municipalities across the country leading to potential delay in meeting AARTO national rollout date and;
- Negative impact on the implementation of the Annual Performance Plan targets.

The Agency took a decision to request relief funding and fortunately R80 million relief funding was acceded to. The impact of the COVID-19 is likely to continue to affect the Agency for the entire financial year. The above developments necessitated the review of infringement fees and penalty collection targets and complete review of the procurement plan for the financial year 2020/21.

Employment costs are determined in line with the proposed organisational structure and these are spread across in the different programmes of the APP. The employment cost factor includes the variable of expansion for the anticipated growth. The spending trend on the cost of employment has been suggested to have considerably grown over the past few years by National Treasury, the Agency has factored this variable in its estimates.

The funding model of the Agency requires that money spent prior to converting the spent money into the successful collection. In that regard that places the Agency into the difficult cash flow challenges worse of it is also expected from the founding AARTO Act that all the issued notices be followed by the issuing of the courtesy letters and enforcement order. As at January 2020 postage spending amount to R82 million and it is expected that



by the end of the current 2019/20 financial year spending will amount to R140 million. The Agency has made a request for the Department to fund the national rollout to the tune of R250 million in the 2020/21 financial year. Another inhibiting factor is the reduced issuance of infringement notices by law enforcement Agencies. The reduction in cash flow has a direct impact on the Agency's ability to increase revenue through the issuance Courtesy Letters and Enforcement Orders.

The average annual growth rate of between 6.0% and 10.5% is expected across the commodity of goods and services in general, this has been applied to forecast the budget figures on a historic basis. In contrast, the real economic growth anticipated in South Africa is only 1.8% for the year. Additionally, the cost of the VAT increase of 1% pronounced from 1 April 2018, has been incorporated in the annual growth rate.

15.4 Capital expenditure projects

Capital expenditure is described as expenditure that is anticipated to stimulate economic growth and create value for the organisation. The Agency return on assets (ROA) has been determined at (15.8%) compared to 6.66% in the previous year.

Capital expenditure budget is a necessity in the MTEF framework for the maintenance and replacement of ICT infrastructure systems. Further details of the capital expenditure requirements for the five years have been documented in the Approved Budget of the Agency annexed to the Business Plan.

15.5 Infrastructure plans

RTIA is not engaged in any infrastructure projects. For this reason, it does not develop infrastructure plans.

15.6 Dividend policies

The RTIA is a Schedule 3A public entity and does not have a share capital to declare dividends. Dividend policies are not applicable to the RTIA.

15.7 Materiality and Significance Framework

After taking into account the factors mentioned above, the RTIA's materiality framework is calculated as follows, using the 2017/2018 audited annual financial statements as a benchmark.



Table 13: RTIA Materiality Framework

Items	Amount	Percentage	Materiality amount
Total Revenue	R 183 732 656	1%	R 1 837 327
Deficit	R 30 307 525	5%	R 1 515 376
Total Assets	R 228 707 753	2%	R 4 574 155

The materiality amount for 2019/20 will thus be R 4 574 155 which has been determined using Total Assets as a reflection of the most stable factor. All transactions with a value above the materiality amount of R 4 574 155 will be deemed "significant".

15.8 Cost Containment Plan

The RTIA has developed a cost containment Plan in line with the most recent National Treasury practice notes and applicable legislation.





PART D: TECHNICAL INDICATOR DESCRIPTION (TID)



16 Programme 1: AARTO Administration & Education

Strategic Goal 1: Enable a culture of AARTO compliance through efficient AARTO administration and targeted awareness campaigns

16.1 Indicator TID-1.1: Development of RTIA Mobile App

Indicator Ref #	TID-1.1
Indicator Title	Development of RTIA Mobile App
Definition	Progress made for the development RTIA Mobile App to extend RTIA service channels
Purpose / Importance	Development of the RTIA Mobile App to have significant penetration across mobile phones in RSA within 3 Years of launching the APP. To improve RTIA Accessibility
Source of Data	Collection of information from the responsible internal business units, Starting with the 2020/2021
Method of Calculation	Milestones achieved against approved baseline annual project plan
Data Limitation	Dependent on adoption and application of consistent project management practices and methodology
Type of Indicator	Milestone
Disaggregation of Beneficiaries (where applicable)	N/A
Spatial Transformation (where applicable)	Accessibility of RTIA services (including affordability)
Calculation Type	Cumulative
Reporting Cycle	Quarterly
New Indicator	Yes
Desired Performance	Developed RTIA Mobile App to extend RTIA service channels
Indicator Responsibility	Executive: Stakeholder Management & Communications/ ICT & CIO



17 Programme 2: Adjudication & AARTO Support

Strategic Goal 2: Voluntary compliance with road traffic laws

17.1 Indicator TID-2.1: Implementation plan for the electronic service to issue courtesy letters and enforcement orders

Indicator Ref #	TID-2.1
Indicator Title	Implementation plan for the electronic service to issue courtesy letters and enforcement orders
Definition	Progress made for the development of the implementation plan of electronic service to issue courtesy letters and enforcement orders
Purpose / Importance	Improve RTIA efficiencies to ensure compliance with AARTO processes to include electronic service and the adjudication process for the cost-effective implementation of AARTO amendments
Source of Data	Collection of information from the responsible internal business units
Method of Calculation	Approved baseline project plan and milestones
Data Limitation	Dependent on adoption and application of consistent project management practices and methodology
Type of Indicator	Milestone
Disaggregation of Beneficiaries (where applicable)	N/A
Spatial Transformation (where applicable)	Accessibility of RTIA services (including affordability)
Calculation Type	Cumulative
Reporting Cycle	Quarterly
New Indicator	Yes
Desired Performance	Developed implementation plan of electronic service to issue courtesy letters and enforcement orders
Indicator Responsibility	Executive: Traffic Infringements Management



17.2 Indicator TID-2.2: % of received representations adjudicated within 21 days

Indicator Ref #	TID-2.2
Indicator Title	% of received representations adjudicated within 21 days
Definition	Indicator measures the percentage of representations adjudicated that falls within the window period from received date
Purpose / Importance	Without timeous adjudication, administration prescripts will not be complied with.
Source of Data	NCR report obtained directly from the eNaTIS contractor.
Method of Calculation	<p>All representations received up to 15 March of every year, will be considered as valid applications regarding the indicator. All applications after 15 March of every year, will be form part of the new financial year reporting period. The final percentage in decimal form will be amended to the nearest percentage. Below is the calculation formula to be used:</p> <ul style="list-style-type: none"> • Successful + Unsuccessful + Cancelled + Pending within 21 days = Achieved • Pending outside 21 days = Not Achieved • Achieved + Not Achieved = 100% of received representations • Any % achieved from 95% will be accepted as an achievement.
Data Limitation	Data template provided by eNaTIS contractor amended without prior knowledge, reliability of eNaTIS data and effectiveness of NCR
Type of Indicator	Quantitative
Disaggregation of Beneficiaries (where applicable)	N/A
Spatial Transformation (where applicable)	Differentiation & responsiveness to specific community environment
Calculation Type	Cumulative
Reporting Cycle	Quarterly
New Indicator	No
Desired Performance	100%
Indicator Responsibility	Executive: Traffic Infringements Management



18 Programme 3: AARTO Information & Analytics

Strategic Goal 3: Build, implement and maintain digital platform to enable intelligent & smart RTIA operations

18.1 Indicator TID-3.1: Implementation of integrated service channels

Indicator Ref #	TID-3.1
Indicator Title	Implementation of integrated service channels
Definition	Progress made for the implementation of integrated digital service channels
Purpose / Importance	Improve RTIA administration and business support information & data integration, quality and integrity across all RTIA service channels
Source of Data	Collection of information from the responsible internal business units, through PMO
Method of Calculation	Approved baseline project plan and milestones
Data Limitation	Dependent on adoption and application of consistent project management practices and methodology
Type of Indicator	Milestone
Disaggregation of Beneficiaries (where applicable)	N/A
Spatial Transformation (where applicable)	Accessibility of RTIA services (including affordability)
Calculation Type	Cumulative
Reporting Cycle	Quarterly
New Indicator	Yes
Desired Performance	Integrated RTIA digital service channels implemented
Indicator Responsibility	Executive: ICT/CIO



19 Programme 4: Governance & Sustainability

Strategic Goal 4: Ensure effective and efficient enterprise management, governance, risk and compliance

19.1 Indicator TID-4.3: Implementation of the re-aligned organisational structure

Indicator Ref #	TID-4.3
Indicator Title	Implementation of the re-aligned organisation structure
Definition	Adequate capacitation of the RTIA to achieve its mandate
Purpose / Importance	<ul style="list-style-type: none"> • Improved performance • Increased efficiency – through improved turn-around times • improved service delivery
Source of Data	Approved organisational structure
Method of Calculation	Number of positions to be filled
Data Limitation	Availability of funds
Type of Indicator	Milestone
Disaggregation of Beneficiaries (where applicable)	N/A
Spatial Transformation (where applicable)	Improved service delivery
Calculation Type	Cumulative
Reporting Cycle	Quarterly
New Indicator	Yes
Desired Performance	Achievement of annual performance targets through adequate number of employees with the required skills
Indicator Responsibility	Executive: Corporate Services



20 Programme 5: AARTO Rollout Programme

Strategic Goal 5: Ensuring seamless and streamlined rollout & management of AARTO capabilities countrywide by all stakeholders for the consistent application of the AARTO Act

20.1 Indicator TID-5.1: Number of public communication and education campaigns implemented to influence change in road users

Indicator Ref #	TID-5.1
Indicator Title	Number of public awareness and education campaigns implemented to influence change in road user behaviour
Definition	Stakeholder awareness and education campaigns/activations for AARTO rollout
Purpose / Importance	Empowerment of road users about their responsibilities of road safety in line with the National Development Plan Chapter 10 (Health care for all) objective to reduce injury, accidents and violence by 50% from 2010 levels, in line with AARTO regulations. This also creates a platform for the development of comprehensive programmes to improve road user behaviour as per Pillar 4 (Safer road users) of the Global Plan for the Decade of Action for Road Safety 2011-2020.
Source of Data	PMO Reports
Method of Calculation	Campaigns initiative for AARTO rollout
Data Limitation	Budgetary constraints may impact the ability of the RTIA to establish a continued physical presence
Type of Indicator	Quantitative
Disaggregation of Beneficiaries (where applicable)	N/A
Spatial Transformation (where applicable)	Accessibility of RTIA services (including affordability)
Calculation Type	Cumulative
Reporting Cycle	Quarterly
New Indicator	Yes
Desired Performance	24 AARTO public communication and education campaigns conducted
Indicator Responsibility	Executive: Stakeholder Management & Communications and Head: Communications

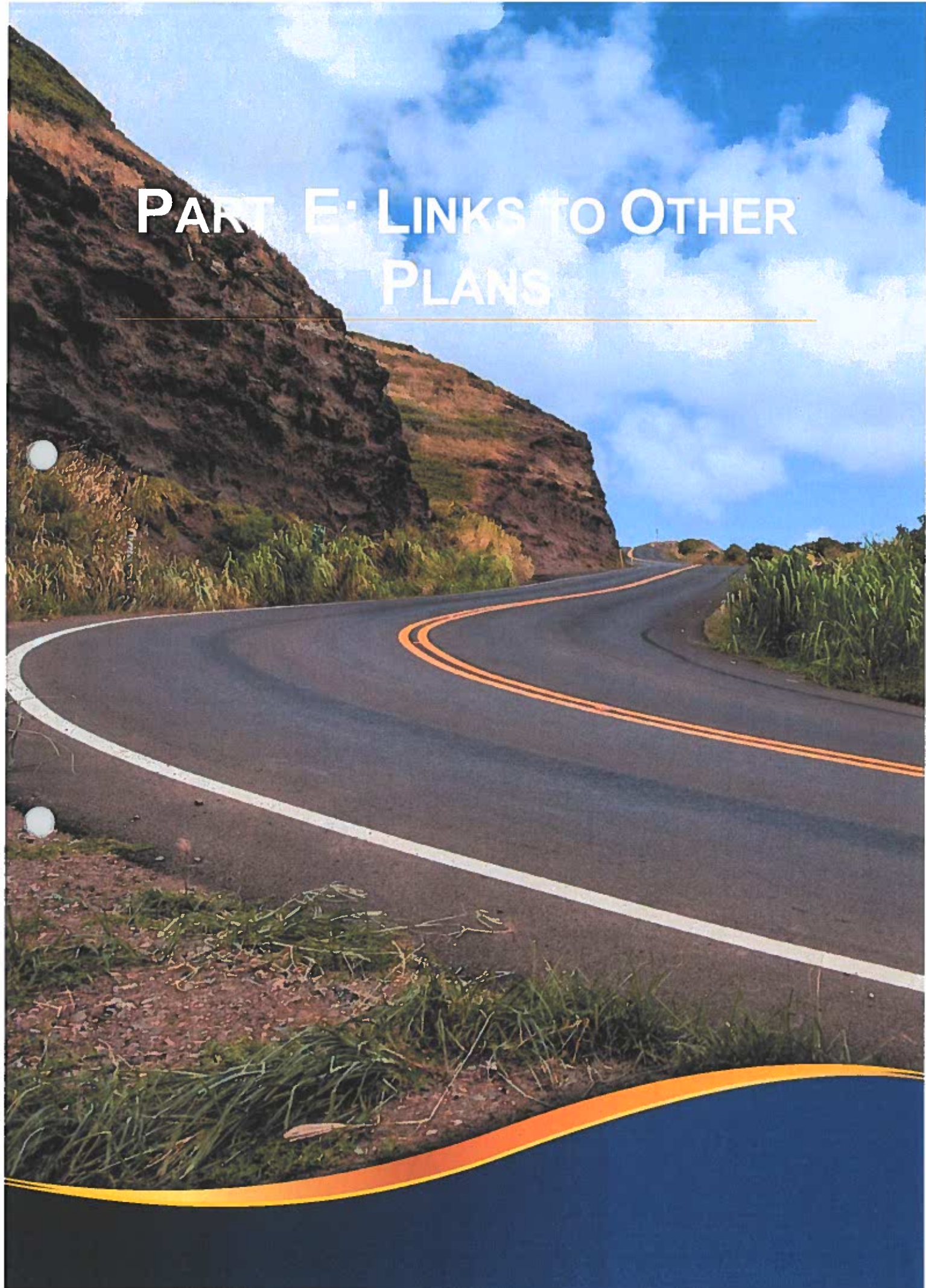


20.2 Indicator TID-5.2: Number of AARTO Digital public awareness and education campaigns implemented (on line social media, RTIA website and aarto.gov.za)

Indicator Ref #	TID-5.2
Indicator Title	Number of AARTO Digital public awareness and education campaigns implemented (on line social media, RTIA website and aarto.gov.za)
Definition	<p>40 x monthly social media (Twitter, Instagram & Facebook), RTIA website & aarto.gov.za AARTO public awareness and education posts on line.</p> <p>This equates to: 360 Digital platform AARTO public awareness and education campaigns on line using (social media, RTIA website and aarto.gov.za)</p> <p>Calculated as follows: We have 5 digital platforms x 2 posts per week = 10 posts per week x 4 weeks = 40 per month x 9 months (3 quarters) = 360 posts for the remaining 3 quarters</p>
Purpose / Importance	Empowerment of road users about their responsibilities of road safety in line with the National Development Plan Chapter 10 (Health care for all) objective to reduce injury, accidents and violence by 50% from 2010 levels, in line with AARTO regulations. This also creates a platform for the development of comprehensive programmes to improve road user behaviour as per Pillar 4 (Safer road users) of the Global Plan for the Decade of Action for Road Safety 2011-2020.
Source of Data	PMO Reports
Method of Calculation	Number of AARTO Digital public awareness and education campaigns
Data Limitation	Budgetary constraints may impact the ability of the RTIA to establish a continued physical presence
Type of Indicator	Quantitative
Disaggregation of Beneficiaries (where applicable)	N/A
Spatial Transformation (where applicable)	Accessibility of RTIA services (including affordability)
Calculation Type	Cumulative
Reporting Cycle	Quarterly
New Indicator	Yes
Desired Performance	360 AARTO Digital public awareness and education campaigns using on line social media social media, RTIA website and aarto.gov.za platforms
Indicator Responsibility	Executive: Stakeholder Management & Communications and Head: Communications



PART E: LINKS TO OTHER PLANS



21 Risk Management Plan

Outcome		Key Risk	Risk Mitigation
Improve RTIA Accessibility & Voluntary Compliance	Improve RTIA accessibility & administrative efficiencies to enable voluntary compliance to infringement notices	Unsustainable payment of AARTO fees caused by a declining economy leading to a dire financial status of RTIA	1.Targeted awareness campaigns 2.Increase accessibility of RTIA services through services outlets
Cultivate Good Road User Behavior	Cultivate an informed and complaint road user to reduce road law infringement, crashes and fatalities	Inadequate awareness on AARTO caused by limited funding leading to high level of non-compliance	Re-packaging of AARTO messaging
Efficient & Fair Adjudication Process	Improve RTIA adjudication efficiencies to ensure compliance with enforcement orders	Non-adherence to AARTO process caused by commission or omission by Issuing Authorities, DLTCs and RAs leading to reputational damage to RTIA brand	Re-service of documents as envisaged in Regulations published for public comments, once implemented
Integrated point demerit ecosystem	Enable an integrated & efficient point demerit ecosystem to improve the quality of road infringement information, analytics & knowledge	Disjuncture between point demerit system and road traffic information, analytics and knowledge caused by incorrect conceptualisation leading to a total misdiagnosis	Designing of transactions (process flow) and succeeded by testing
Reliable Road User Research & Analytics	Establish the prescribed information management system connected with the national contraventions register and other relevant road safety systems, to create, process and maintain records with regard to RTIA mandate	Incompatibility between IT systems caused by systems design leading to delays in implementation of RTIA information system	To conduct awareness programme on SDLC policy

22 Contingency Plan

The RTIA will put the necessary contingency plans in place to ensure that the necessary key success factors are addressed for the respective key performance targets to be realised. The plans will also incorporate the necessary monitoring & evaluation mechanisms

for proactive preventative measures and corrective actions to ensure consistent alignment of the respective plans that supports the realisation of the strategic plan.

23 Fraud Prevention Plan

The RTIA Anti-Fraud and Corruption Plan contains guiding principles that help to establish an environment that will assist the Agency to effectively prevent and manage fraud risk which includes:

23.1 Fraud Risk Governance

As part of the RTIA governance structure, a fraud risk management programme should be in place, including a written policy (or policies) to convey the expectations of the board of directors and senior management regarding managing fraud risk. In response to this the RTIA have developed a Fraud Prevention Plan and related policies that convey the Board and management's expectations regarding management of fraud risks. The leadership of the agency has the responsibility guarantee that the control environment is adequate and effective to avert or detect incidents of fraud. All officials of the Agency are to be held accountable for the prevention and detection of fraud, corruption, theft or any activities of a similar nature, within their areas of responsibility.

23.2 Fraud Risk Assessment

Regular fraud risk assessments are conducted by the Agency to identify potential patterns and events that the agency needs to mitigate against. Similarly, care should be taken to consider the relevant potential risks that could arise during the design and implementation of new systems and processes. The goal of the assessment is to determine the type, likelihood, and potential cost of risks in a traditional expected value framework. Since RTIA operations are data dependent, it is important to note that the sources of these risks may be external as well as internal.

23.3 Fraud Prevention

The RTIA's control environment is designed to prevent and deter fraud before it occurs. Our prevention strategies are rooted in a culture of fraud awareness, understanding common policies and procedures, protection for whistle-blowers, and continuous communication about the importance of fraud prevention throughout the organisation. Officials know that fraud is possible and is a serious problem. The Agency has developed detection mechanisms, including fraud control and continues to seek feasible ways to mitigate possible impacts on the organisation.



23.4 Fraud Detection and Investigation

The RTIA has put in place structures that assist in the detection of fraud in the Agency to uncover fraud events when preventive measures fail, or unmitigated risks are realized. These structures include the Fraud Prevention Committee, on-going risk assessments, Internal Audits and anti-fraud and corruption hotline. In instances where fraud and corruption are reported, management is obligated to review and improve efficacy of the controls which have been breached so that similar irregularities might be prevented in future.

23.5 Reporting Mechanisms

The RTIA's Anti-Fraud and Corruption Policies sets out the responsibilities and processes which ensure that timely information is reported to a delegated official who can address the problem.

The RTIA further encourages staff members, stakeholders and members of the public who suspect fraudulent activities and wish to remain anonymous to call the Agency's Anti-Fraud and Corruption Hotline on 0800 204 473, email or fax to the contact details as available on the RTIA website. A fraud hotline is a simple, yet highly effective management tool designed to enable concerned employees in an organisation, as well as third parties associated with an organisation, to report fraudulent, corrupt and unethical practices in the workplace.

The RTIA plan also makes provision for the reports to be directed to the Auditor-General, Treasury or The Public Protector should the reporter wish not to use the hotline.

23.6 Duty to Protect Whistle-blowers

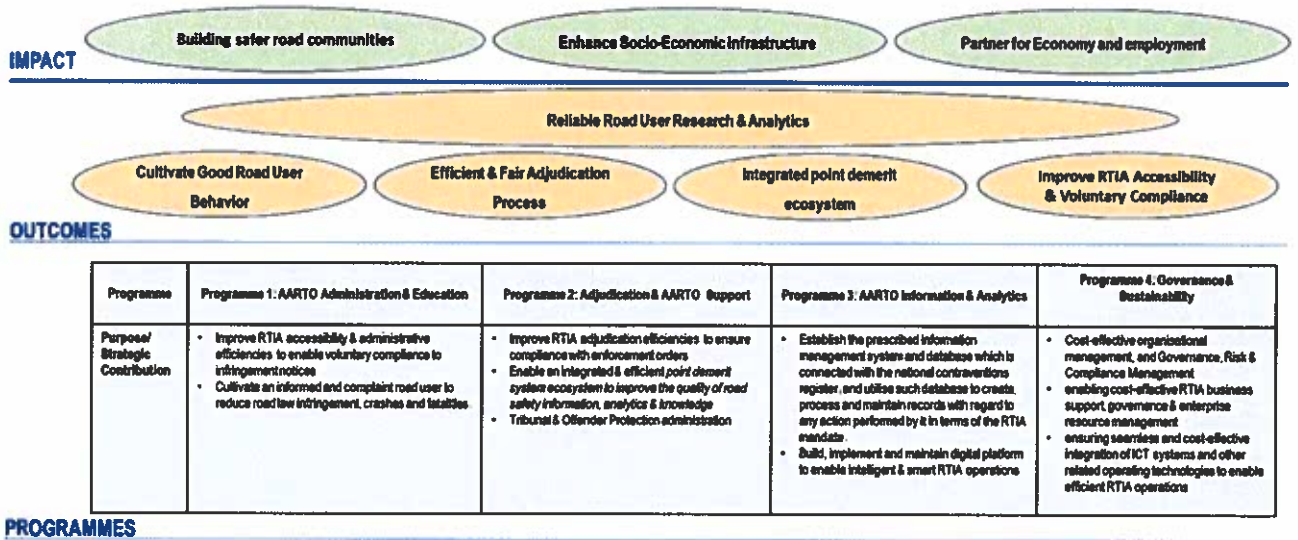
The Agency acknowledges and abides by the Protected Disclosures Act and other related legislation that seeks to protect whistle blowers. Consequently, the agency is bound to protect all persons that report incidents of fraud and corruption from possible discrimination and punishment within the workplace.

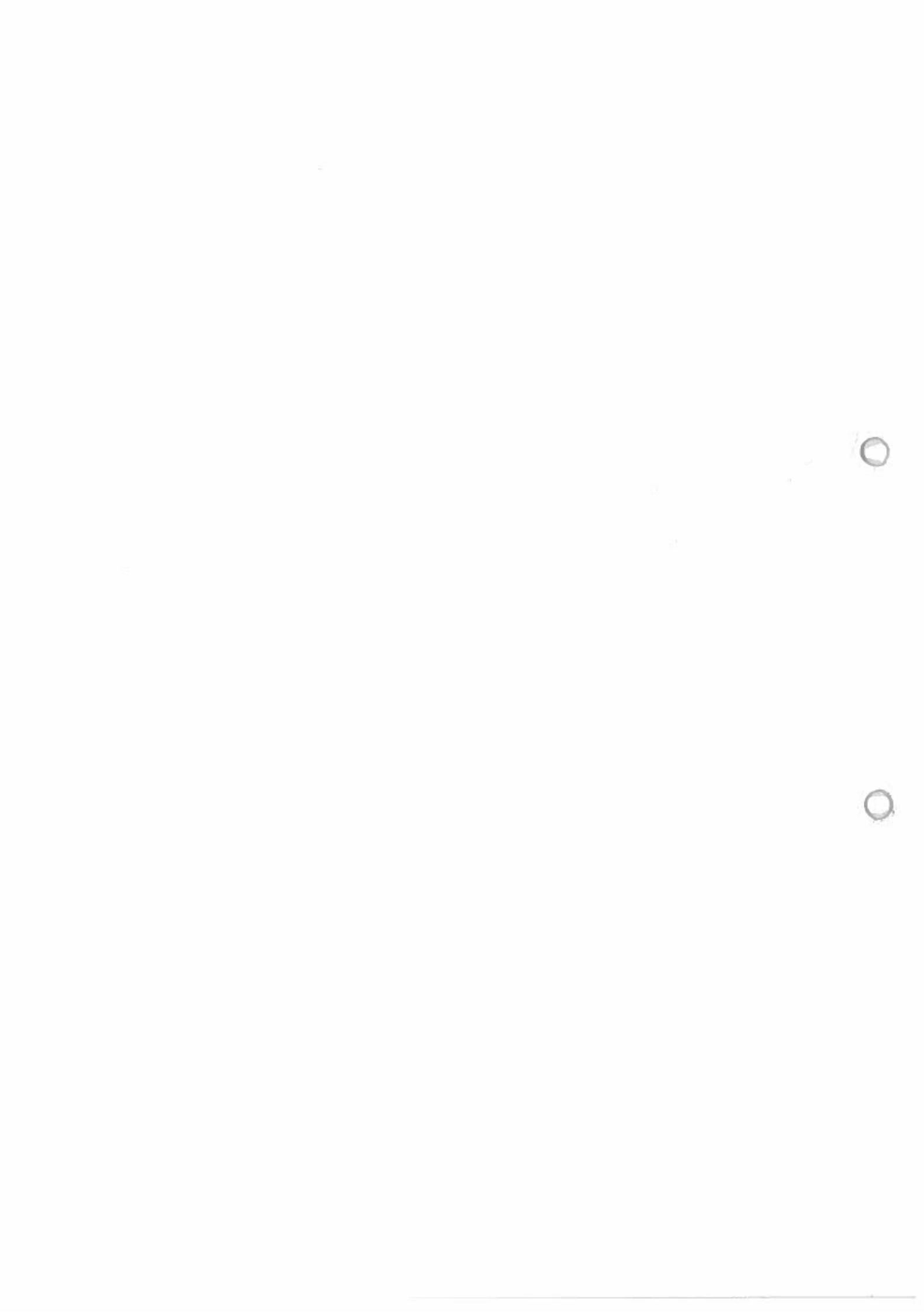
23.7 Reporting to Police and Other Relevant Authorities

The RTIA will report fraud and corruption, where applicable to the South African Police Services (SAPS) as required by the PFMA. Appropriate legal recourse to recover losses or damages arising from fraud and corruption will be taken in line with the PFMA requirements.



Annex A : RTIA Strategy Map







RTIA
Road Traffic Infringement Agency



